

# Mapping territorial vulnerability to wildfires: A participative multi-criteria analysis

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1	Mapping territorial vulnerability to wildfires: a participative multi-criteria
2	analysis
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12	
13	Highlights
14	• Analytical Hierarchy Process proves a flexible method to assess vulnerability and risk
15	• Risk experience, wildland-urban interface and tree traits drive vulnerability
16	• Considering socio-economic drivers and several assets broadens the analysis
17	• Stakeholders confirmed the relevance of participative assessments using MCDA
18	• We identify vulnerable locations not yet subject to hazard
19	
20	Abstract
21	The Mediterranean region is routinely affected by forest fires, with adverse consequences on
22	ecological, infrastructural, and socioeconomic assets. In a context of climate change, it is

23 crucial for fire prevention and suppression to be able to identify locations where assets are most 24 at risk, due to environmental, physical or socio-economic reasons. Besides, this knowledge 25 needs to be developed conjunctly with operational services and field experts to ensure their 26 application. So far, fire risk research has largely focused on fire behavior, effects, and model 27 simulation. In this article, we show how the concept of vulnerability can provide a flexible and relevant framework for assessing fire risk and be evaluated using a spatial multicriteria decision 28 29 analysis method (MCDA) - the Analytical Hierarchy Process (AHP)- based on both quantitative data and expert judgment gathered through a participative approach. We focus on 30 31 South-Eastern France, a region characterized by high economic and environmental stakes and heavily affected by wildfires. We develop a series of spatialized indicators using ecological, 32 land-use and sociodemographic data which we aggregate to produce vulnerability maps for 33 34 three categories of assets: population, ecosystems and infrastructures. An ex-post workshop 35 was organized with field experts to put both the approach and results into discussion. Results reveal significant differences in indicators' perceived contributions to risk and vulnerability 36 37 and enable appraising the contribution of sociodemographic factors, often overlooked in the literature. We also reveal differences in spatial patterns across both vulnerability 38 subcomponents and exposed assets, helping identify primary and secondary vulnerability 39 hotspots and underlying drivers. Consideration of multiple subcomponents of risk and 40 41 vulnerability may help local decision makers prioritize how and where measures should be 42 implemented, while the use of MCDA favors experience and knowledge sharing and among stakeholders while providing a basis of discussion. 43

#### 44 Keywords

45 AHP, GIS-MCDA, wildfire, vulnerability, risk assessment, forest

47 Human societies derive benefits from well-functioning ecosystems through the services they 48 provide (Diaz et al., 2019). Hazards such as storms, fires and pest outbreaks are natural ecosystem features whose regimes (i.e. patterns of occurrence and intensity) are expected to be 49 50 affected by global changes (IPCC, 2021; Seidl et al., 2017). Wildfires, or forest fires, are one 51 of the commonest disturbances to affect vegetated ecosystems (Bowman et al., 2020) and can negatively affect environmental, socio-economic, and infrastructural assets (Gill et al., 2013; 52 J. C. Liu et al., 2015). Their occurrence and behavior have environmental determinants such as 53 54 weather and fuel moisture, as well as anthropogenic determinants such as land-use patterns and the presence of nearby activities (Bowman et al., 2020; Ganteaume et al., 2013). Most of these 55 56 are dependent on the local context, e.g. wildfires are known to be prevalent in locations with high wildland-urban interface (Radeloff et al., 2018). As a result, there is a need to discuss and 57 prepare fire risk management policy locally with stakeholders, especially in regions where 58 59 wildfire regimes are expected to worsen, such as the Mediterranean (Dupuy et al., 2020; Pimont 60 et al., 2022).

61 As a field of study, wildfire risk assessment is concerned with the integrative evaluation of fire 62 likelihood and impacts (Oliveira et al., 2021). Wildfire risk is often defined as the resultant of three characteristics: *fire occurrence* and *behavior* - their resultant being *fire likelihood*, i.e. the 63 64 probability that a fire will happen - and *fire effects* on values-at-risk (Finney, 2005; Thompson 65 et al., 2013). The evaluation of fire risk and its components have been made possible by the development of sophisticated wildfire simulators alongside fire effects models (Costafreda-66 67 Aumedes et al., 2017; O'Brien et al., 2018; Xi et al., 2019). Despite the existence of this "technical" definition of wildfire risk, a large part of the literature either uses unclear 68 definitions or puts a strong emphasis on fire hazard, i.e. the physical phenomenon, through 69

70 metrics such as burn probability and fire intensity (Johnston et al., 2020), ignoring the impact
71 on values-at-risk.

72 The natural hazards literature, especially that related to climate change (e.g. Füssel & Klein, 73 2006; Metzger et al., 2006) adopts a broader definition of risk as "the potential for 74 consequences where something of value is at stake and where the outcome is uncertain" (IPCC, 75 2019). Within this framework, vulnerability refers to "the propensity or predisposition to be adversely affected by a hazard, including sensitivity or susceptibility to harm and lack of 76 capacity to cope and adapt". Applications of this framework, despite shifting contours, share 77 78 a common point in the consideration of four key aspects: hazard, exposure of assets, their susceptibility (or sensitivity) and adaptive capacity (also, coping capacity or resilience) (Comte 79 80 et al., 2019). Despite their similarities, this latter conceptualization is more encompassing than 81 the traditional view of wildfire risk due to the clear distinction made between exposure of assets 82 and hazard likelihood, the explicit inclusion of adaptive capacity and the consideration of social 83 and economic dynamics. Besides, clearer boundaries are set to vulnerability, while the term 84 can be found in the fire risk literature with a meaning akin to sensitivity (Johnston et al., 2020; Liu et al., 2012). While some recent studies have adopted this broader view (Ghorbanzadeh et 85 al., 2019; Lecina-Diaz, Martínez-Vilalta, Alvarez, Vayreda, et al., 2021; Oliveira et al., 2018, 86 2020; Thorne et al., 2010), the general framework has only recently been formally adapted to 87 the case of forest-related natural hazards (Lecina-Diaz, Martínez-Vilalta, Alvarez, Banqué, et 88 89 al., 2021; Oliveira et al., 2018; Vaillant et al., 2016).

90 Many fire risk assessments use model simulations, raising questions of model integration, the 91 use of a common (often monetary) metric, and, given model complexity, the difficulty to 92 transfer knowledge to local decision makers. Model-based assessments also tend to overlook 93 or simplify human and social dimensions, even though recent advances have been made

94 regarding e.g. citizen involvement and behavioral aspects (Johnston et al., 2020; Oliveira et al., 95 2021). This can be addressed with multiple-criteria decision analysis (MCDA), which relates 96 to an ensemble of methods that propose a structured and formal framework for assessing 97 complex problems considering several dimensions. MCDA methods can integrate data of mixed natures, including expert knowledge, and foster collaborative decision making by 98 providing focus to discussions. MCDA is particularly well-suited for natural resources 99 100 management issues, especially at local scales (Mendoza & Martins, 2006). Their further 101 integration into Geographic Information Systems (GIS) enables the combination of spatial data 102 and value judgements to create visual information supporting decision making. GIS-MCDA 103 methodologies have proven to be powerful, comprehensive and convenient tools in 104 environmental planning when spatial drivers are significant (Malczewski, 2007; Malczewski 105 & Rinner, 2015), including applications to hazard risk assessment for e.g. floods, earthquakes 106 and explosions (Duzgun et al., 2011; Fernandez et al., 2015; Ghajari et al., 2017; Le Cozannet 107 et al., 2013; Nyimbili et al., 2018; Pham et al., 2021). Owing to the emphasis on the physical 108 phenomenon and model simulation, similar examples are scarcer in the fire risk literature. 109 Ghorbanzadeh et al. (2019) use GIS-MCDA to assess social and infrastructural vulnerability based on census, land-use and economic data. They subsequently demonstrate the coupling of 110 111 vulnerability maps to hazard maps generated with machine learning models to assess overall 112 fire risk. Oliveira et al. (2020) focus on risk to populations and jointly assess hazard exposure 113 and vulnerability using a fire spread simulator and a clustering algorithm on sociodemographic 114 and geographic data. They also show how their model could be used to inform policy planning by evaluating overall risk under various scenarios of land management policy. Another 115 116 example is given by Thompson et al. (2013), which consider several exposed assets and expert 117 knowledge in their assessment of vulnerability.

118 In this article, our objective is to perform an encompassing assessment of fire risk based on the 119 vulnerability concept, using field knowledge from experts with direct experience with wildfires 120 and considering three assets of different natures important at the territorial scale: population, 121 ecosystems and infrastructures. A secondary objective is to explore and confirm the potential 122 of a MCDA participative approach to foster discussion between experts that do not usually engage in dialogue, in a field where model-based evaluations by academics constitutes the main 123 124 research methodology. As a result, our approach is exploratory and is not a predictive 125 assessment: the research process is as important as results, which are themselves (e.g. maps) 126 tools to foster discussion and the emergence of collaborative knowledge.

Vulnerability is decomposed into a hierarchical problem and its subcomponents – exposure, 127 128 sensitivity and adaptive capacity – are assessed by integrating quantitative land-use, economic 129 and sociodemographic data as well as expert knowledge and simulation results using the 130 Analytic Hierarchy Process (Saaty, 2004), a MCDA method based on stakeholder participation and expert judgment. This approach of vulnerability is furthermore combined with probabilistic 131 132 modelling of fire activity (Pimont et al. 2021) to assess fire hazard, hence resulting in an 133 integrated multidisciplinary approach to wildfire risk. We focus on South-Eastern France, a 134 fire prone area with contrasted land-use patterns which influence fire activities (Castel-Clavera et al., 2022) and where fire regimes are expected to worsen through significant intensification 135 136 of fire activities, an expansion of the fire-prone region and a lengthening of the summer fire 137 season (Pimont et al. 2022).

We aim to develop a methodology suited to our biophysical and institutional context and to provide synthetic, visual and interpretable results at the meso scale. The availability of such resources can have implications for local stakeholders engaged in fire risk management, helping them identify vulnerability hotspots and underlying drivers, and to select measures to be implemented locally. These latter aspects were discussed in an ex-post workshop with fieldexperts.

- 144 **2.** Material and methods
- 145

## 2.1. General framework

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#### 2.1.1. Presentation of the study area

147 We focus on South-Eastern France (Fig. 1), namely the area known as Prométhée after the fire observation database covering it (https://www.promethee.com/). The region is mostly 148 149 Mediterranean but displays varied topographic conditions with coastal plains, the Rhône river valley and several mountainous areas (e.g. the Alps<sup>1</sup>). It also includes the mountainous and 150 highly forested Corsica island. Forests cover 30% of land area, agriculture 49% and artificial 151 152 land uses 5% (Figure 1). Fires in the area are very numerous and an average of 9000 ha have burned yearly over in the period 2011-2021, representing 80% of the national total. Most fires 153 154 occur over the summer (May-October) and are largely human-caused, voluntarily or 155 accidentally. They tend to occur close to population centers, in areas with high wildland-urban 156 or wildland-agriculture interfaces, and in locations with touristic frequentation (Ganteaume et 157 al., 2013; Ruffault & Mouillot, 2017). Over the decades to come, fire danger is expected to 158 increase significantly owing to increases in temperatures and changes in precipitation patterns (Dupuy et al., 2020; Fargeon et al., 2020). Climate change could induce an increase in the 159 160 number of large fires (>100ha) by up to +180% for a +4°C warming, a doubling of fire-prone 161 areas and a large symmetrical lengthening of the summer fire season (Pimont et al. 2022). 162 Stakes in terms of exposed assets are high. There are over 9 million inhabitants in the area 163 (14% of France's population), most of which are located in the lowlands, which also includes 164 large economic and industrial centers. The region comprises 5 national parks and more than 15

<sup>&</sup>lt;sup>1</sup> An annotated map of the study area is provided in Appendix B.

regional parks with valuable habitats, as well as numerous reserves. Owing to the mountainous nature of many locations, in addition to classical ecosystem services (e.g. wood production, carbon storage), forests also provide important regulation services (e.g. erosion and flood control), as well as aesthetic and cultural services due to touristic frequentation, which is very high in the summer along the coast and in the mountains. These Land-Use and Land-Cover factors affect spatial patterns of fire activity (Castel-Clavera et al., 2022).

171



Figure 1 – Location and land-use in the study area. "Other" land-uses include wetlands,
water bodies and open spaces with little to no vegetation in the Corine Landcover
Classification.

175 2.1.2. Conceptual framework on vulnerability

176 We conceptualize fire risk and vulnerability following frameworks developed by Lecina-Diaz 177 et al. (2021) and Oliveira et al. (2018) for forest disturbances and forest fires respectively (Figure 2). Risk and vulnerability are defined with regards to *exposed assets* (or values-at-risk) 178 179 that contribute to wellbeing in societies and may be damaged. *Exposure* is defined as the presence of valuable assets before the hazard occurs. Sensitivity refers to the asset's 180 susceptibility to sustain damage during or immediately after the hazard's occurrence. Adaptive 181 182 capacity (AC) is defined as the asset's ability to cope with damage that has been sustained and to recover after the hazard has occurred. Both sensitivity and AC depend on *intrinsic factors* 183 184 (e.g. bark thickness, housing density) and *extrinsic factors* (e.g. silvicultural practices, financial 185 resources). Vulnerability then refers to the assets' propensity to be adversely affected by the hazard, to cope and recover from it, and is the resultant of exposure, sensitivity and AC. In 186 187 turn, *risk* is defined as the potential for negative consequences for an asset due to a hazard that 188 may occur. It is the resultant of vulnerability and *hazard*, which refers to the physical fire 189 phenomenon (occurrence and behavior).



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#### 2.1.3. The Analytical hierarchy process

The Analytical Hierarchy Process (AHP, Saaty 2004) is a weighted additive MCDA method based on problem decomposition and the subsequent aggregation of indicators, where weights are attributed to individual indicators through a binary comparison process involving expert judgment. Within a GIS environment, AHP is used to compute weights attributed to layers of spatial data representing criteria and to produce synthetic maps (Malczewski & Rinner, 2015).

199 For each indicator pair, relative preference is quantified by experts using the verbal scale by Saaty (2004), to which an underlying 1-9 scale is associated<sup>2</sup>. Scores are then compiled in a 200 matrix of order n, where  $c_{jk}$  is the pairwise comparison score between factors j and k, and n is 201 202 the number of factors. The matrix is reciprocal and scores on the diagonal are equal to 1, hence only n \* (n - 1)/2 comparisons are required. The relative importance of factors, or weights, 203 are given by the principal eigenvector of the matrix. These are computed as  $w_k = \sum_{k=1}^n c_{jk}^* / n$ , 204 where  $w_k$  are the computed weights for factors and  $c_{jk}^*$  are the matrix's coefficients normalized 205 over columns. A consistency ratio CR is computed to assess the level of inconsistency in expert 206 judgments. It is computed as  $(\lambda_{max} - n)/(RI * (n-1))$ , where  $\lambda_{max}$  is the maximum 207 eigenvalue of the matrix and RI, or random index, is the consistency ratio of randomly 208 generated matrices. 209

Aggregation of individual judgements is performed using the geometric mean as it preserves
the AHP axioms and matrices' properties and dampens the effects of extreme judgements.
Given a large enough sample size, consistency of the aggregated matrix will tend towards 1,

<sup>&</sup>lt;sup>2</sup> See Appendix A.

e.g. a 20 sample size is enough to reach 90% consistency for matrices of order 3 or more (AullHyde et al., 2006; Bernasconi et al., 2013). Once weights are computed, a score for the
objective higher up in the hierarchy (e.g. sensitivity of population) is calculated using weighted
summation. Within the GIS environment, scores are calculated by combining weights with
layers of spatial data for each indicator and location.

218

#### 2.2. Application to fire vulnerability

#### 219 2.2.1. Problem decomposition and choice of indicators

We adopt a hierarchy with three levels (Fig. 2). The first level comprises the two concepts assessed in the study: risk and vulnerability. The second level corresponds to subcomponents of the two concepts: hazard, exposure, sensitivity and adaptive capacity (AC). The third level refers to factors, i.e. reasons why an asset may be more or less vulnerable, and indicators, i.e. variables developed to quantify factors. Factors are therefore defined by a rationale (e.g. a place that is easily accessible to firefighters is less sensitive), while indicators are measurable and characterized by values (e.g. distance to the nearest fire station).

#### 227 <u>Hazard</u>

228 Hazard refers to the likelihood of fires, including both occurrence probability and fire spread. 229 At the regional scale, fire activity can be represented through emergent propertie, in particular 230 burned areas. Hazard was quantified using simulations with Firelihood, a probabilistic fire 231 activity model developed for Southern France and estimated in a Bayesian framework using 232 the Fire Weather Index (Van Wagner, 1987) and forest area as predictors (Pimont et al., 2021). 233 It represents fire activity as a Poisson point process and comprises two sub-models: one 234 determining fire occurrence probability and one determining fire sizes for fires larger than 1ha. 235 The sequential use of the two enables the simulation of fire occurrences and their subsequent spread, yielding a spatialized account of burned areas at the 8km pixel and daily scales. We
used the mean annual burned area computed from a set of 1000 stochastic replications as the
indicator for fire hazard.

#### 239 Vulnerability

For exposure, one indicator was developed per asset: population density, land-use share of forest ecosystems and infrastructural building density. For sensitivity and adaptive capacity, the choice of factors and indicators was based on a review of the specialized literature. Factors also needed to be relevant at the regional study scale and quantifiable at the 1km pixel scale sing publicly available data. For this reason, factors such as building materials were discarded while date of construction was preferred. We give a brief overview of the reasoning behind such choices below (Table 1).

247 Three factors for population's sensitivity relate to ease of evacuation (Table 1): the presence of 248 collective housing and the presence of large or single-adult households, who are harder to 249 evacuate, and the presence of young and elderly people who may require assistance. Unemployment level and household wealth were selected as they relate to access to resources, 250 highlighted, contributing to social vulnerability (Emrich et al., n.d.; Geomatics et al., 2017; 251 252 Nguyen et al., 2017). Geographic isolation contributes to increased sensitivity by making evacuation more complex while also relating to lower access to resources (services). Several 253 254 of these also relate to ease of defending the property (e.g. harder with children, easier when 255 better equipped). Factors for population's AC relate to their ability to recover over the medium 256 term by having access to various forms of community-level support (e.g. financial, social), 257 information and health services. Recent experience of fire events is also considered to 258 contribute to better AC (Edgeley et al., n.d.; Paveglio et al., 2009).

259 Three factors for ecosystem sensitivity were based on firefighting services' capacity to monitor, 260 access and defend the area. Two other factors were based on forests' intrinsic characteristics: fuel load, known to favor fire activity and intensity, and the intrinsic sensitivity of tree species. 261 262 Three factors for AC were based on extrinsic features, two of which are shared with other assets 263 (risk experience and city-level financial resources). Besides, we considered public forests to have better AC given their active monitoring and management by authorities, while private 264 265 forest owners, especially in fragmented and remote areas, can be absentee (Lindner et al., 2010). Two factors account for ecological features: tree species' intrinsic AC and fire return 266 267 interval, estimated from the Firelihood model (Pimont et al., 2021).

Factors for the sensitivity of infrastructures relate to the buildings' capacity to withstand 268 269 damage (recent buildings are designed for better evacuation and resistance), to ease of access 270 for firefighting services as well as to the presence of sensitive industrial compounds nearby 271 that may aggravate potential damages. We also took into account the presence of wildland-272 urban interface, i.e. intermingled vegetation and urban land-uses, which is known to favor fire 273 activity (Ganteaume & Jappiot, 2013; Radeloff et al., 2018). Factors for the AC of 274 infrastructures largely relate to the coping capacity of their owners, occupants and of local 275 authorities, and we use the same factors as for populations, except access to health services and 276 social isolation, which are specific to people.

#### 277 2.2.2. Computation and aggregation

All indicators were computed on GIS software QGIS (<u>https://qgis.org</u>) at the scale of 1km wide
pixels. They were computed from publicly available sociodemographic, economic and landuse data from the Geographic National Institute (IGN), the National Institute for Statistics and
Economic Analysis (INSEE), the French Observatory of Territories, and the French Forest
Agency (ONF) databases. Most were natively at the pixel size (no treatment) or at larger pixel

and municipality scales and were downscaled to 1km pixel size. Cover shares for land-use data were computed using QGIS's overlay and buffer tools, and distances using the nearest neighbor tool. Fuel load was directly taken from the raster map by the EU Joint Research Center (Avitabile & Camia, 2018) and computed with the raster calculator. Intrinsic sensitivity had been previously assessed for dominant tree species of the national high-resolution forest cover database (BD Forêt) by experts of the French Forest Agency (ONF) and was kindly provided as a raster map for this study.

Component	Factor	Indicator	Weight	CR	
	Asset 1 - Population				
Exposure	Resident population	Population density (people/km <sup>2</sup> ) *	1	-	
	Geographic isolation	Average access time to intermediate-level-services (min) •	0,42		
	Age	People under 10 and over 65 years old (%) $*$	0,18		
Soncitivity	Housing type	Households in collective housing (%) *	0,14	1 /0%	
Sensitivity	Household composition	Households with only one adult or 3 or more children (%) $^{st}$	0,12	1.40%	
	Unemployment	Unemployed people (%) *	0,07		
	Household wealth	Winsorised household wealth ( $\in$ ) *	0,06		
	Risk experience	Burned area over the past 10 years (ha, www.promethee.com)	0,30		
	Financial resources	Fiscal potential per inhabitant at city level ( ${f \varepsilon}$ ) ${f \bullet}$	0,19		
	Access to health	Localised potential accessibility to doctors•	0,12		
AC	Social isolation	People either unemployed or over 75 years old (%) •	0,14	1,90%	
	Geographic isolation	Average access time to intermediate-level-services (min) •	0,14		
	Education level	People with higher education (%) •	0,11		
		Asset 2 - Ecosystems			
Exposure	Presence of forests	Pixel area covered by forests (%) <sup>+</sup>	1	-	
	Instrinsic sensitivity	Pixel area with sensitivity classes 4-5 (%, ONF)	0,29		
	Fuel load	Biomass per hectare (Mt/ha, JRC Avitabile et al. 2020)	0,23		
Sensitivity	Surveillance	Pixel area covered by surveillance and patrol zones (%, ONF)	0,22	1.90%	
	Denfendability	Pixel area within 200m of roads and water points (%, ONF)	0,19		
	Firefighting access	Distance to nearest station (km) <sup>+</sup>	0,07		
	Intrinsic adaptive capacity	Pixel area with adaptive capacity classes 4-5 (%, ONF)	0,43		
		Fire return interval (years, firelihood model simulations Pimont	0.00		
AC	Fire return interval	et al. 2021)	0,29 0,80		
	Risk experience	Burned area over the past 10 years (ha, www.promethee.com)	0,10		
	Financial resources	Fiscal potential per inhabitant at city level ( ${f \epsilon}$ ) $ullet$	0.10		

	Property regime	Pixel area of publicly owned forests (%, www.data.gouv.fr)	0,07	
Asset 3 - Infrastructure				
		Pixel area covered by commercial, residential and industrial	1	
Exposure	Presence of buildings	buildings (%) †	1	
	Wildland-Urban Interface	Pixel area within 200m of both forest and urban land-uses (%,	0.50	
	(WUI)	CORINE Landcover)	0.50	
Constitution		Distance to closest Classified Installation of Environmental	0.24	0 5 00/
Sensitivity	Building use	Protection ICPE (km, www.data.gouv.fr)	0,24	0.50%
	Firefighting access	Distance to nearest station (km) <sup>+</sup>	0,18	
	Age of building	Number of buildings built before 1990 (%)*	0,08	
	Financial resources	Fiscal potential per inhabitant at city level ( ${f \varepsilon}$ ) ${f \circ}$	0,54	
A.C.	Risk experience	Burned area over the past 10 years (ha, www.promethee.com)	0,23	2 100/
AC	Education level	People with higher education (%) •	0,12	2,10%
	Geographic isolation	Average access time to intermediate-level-services (min) •	0,11	
	Fire Hazard			
Hazard	Mean annual hurned area	Mean annual burned area (ha) over 1000 simulations with the	1	
		fire model Firelihood (Pimont et al. 2021).	T	-

Table 1 – List of factors and indicators for risk and vulnerability components: exposure,
sensitivity and adaptive capacity (AC). Indicators are presented by order of decreasing
importance following the Analytical Hierarchy Process. Data was retrieved from publicly
available databases from INSEE (\*), Observatoire des Territoires (•) and IGN (+), unless
indicated otherwise.

296 Indicators use different units and scales and were standardized before summation using the 297 score range procedure, yielding unitless values between 0 and 1 (Malczewski, 1999). Most were right-skewed and were winsorized between their 95% and 100% quantiles prior to 298 299 standardization. This procedure ensures that post-aggregation results use a large range of values, facilitating map interpretation by experts in workshops. It amounts to assuming that the 300 301 contribution of any given indicator to vulnerability becomes marginal for very high values at 302 the right end of the distribution (e.g., that an extremely wealthy community is as vulnerable as 303 a very wealthy community).

After the weighted summation of indicators, vulnerability was obtained by multiplication of exposure, sensitivity and AC. Risk was computed by multiplying vulnerability with hazard. All metrics computed were represented on maps using the Jenks natural breaks algorithm, a clustering procedure which minimizes variance within classes and maximizes variance across classes and is particularly well suited for representing data on choropleth maps.

309 2.3. Expert selection, interviews and discussion workshop

Given, the objective of bridging the gap between science and field knowledge, experts were primarily drawn from local agencies working with wildfires and selected based on experience rather than academic background. We interviewed policy officers from the French agencies of the environment and agriculture and members of firefighting forces. We also included scientists conducting research on fire risk. 48 experts were contacted and 22 agreed to be interviewed 315 (Table 2). Interviews lasted between 1 and 2 hours each and, given restrictions due to the Covid 316 pandemic, were conducted through videoconference. The project's general objective and 317 methods were explained to interviewees, examples of pairwise comparisons were given for 318 training, and factors were explained beforehand.

Institution	Contacted	Interviewed
French forest agency	6	4
Firefighting and prevention	9	5
Public agencies	22	9
Scientists	7	3
Private sector	4	1
Total	48	22

#### 319 *Table 2 – Breakdown of experts interviewed.*

The last step of the process was the organization of a workshop with the same pool of experts. 320 321 As mapping constitutes a modified representation of a spatial phenomenon (Malczewski & 322 Rinner, 2015; Palsky, 2013), resulting conclusions must be discussed by stakeholders to ensure 323 results appropriation and to contribute to local risk management. this constitutes an *ex-post* 324 validation of result where maps are considered as visualization and dialogue tools. We 325 specifically discussed the geographical implications of results through a three steps process: 326 (1) redefining key concepts, (2) collective appropriation of results, issue identification and (3) 327 discussion on key messages and limitations for decision makers and practitioners. Inspired by 328 participatory research approaches in a pandemic context (Hall et al., 2021), workshops were 329 conducted using the online collaborative workspace MURAL (<u>https://www.mural.co/</u>). This
330 allowed participants to freely navigate through the maps and tables in an interactive manner
331 while discussing results and writing down personal observations.

332 **3.** Results

333

#### **3.1.** AHP results and aggregation

334 AHP results show that experts considered geographic isolation to be the main factor contributing to population's sensitivity (42% weight) while all three factors related to ease of 335 336 evacuation had intermediate weights (12-18%, total 43%). Access to resources (wealth, 337 unemployment) was judged not to be as important (6-7%). Results for AC display moderate contributions for all factors (11-30%), among which past experience with fire hazard was 338 339 judged most important (30%), followed by financial resources (19%). Experts judged that ecosystem sensitivity was primarily dependent on intrinsic forest characteristics - intrinsic tree 340 sensitivity (29%) and fuel load (23%). As for factors related to firefighting, surveillance (22%) 341 342 and defendability (19%) were deemed more important than ease of access (7%). AC was judged 343 to be mainly determined by ecological factors, namely tree species' intrinsic adaptive capacity 344 (43%) and fire return interval (29%), while socio-economic factors were deemed less relevant 345 (7-10%). All matrices showed high levels of consistency with consistency indices below 5%. For infrastructures, sensitivity was judged by experts to be overwhelmingly dominated by the 346 347 presence of WUI (50%). The presence of firefighting access, a mitigating factor, and that of 348 sensitive industrial sites nearby, an aggravating factor, were also deemed important (18% and 349 24% respectively), while building age, an intrinsic characteristic, was not (8%). AC was judged 350 to be mostly determined by financial resources (54%) and experience of risk (23%).

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#### **3.2.** Vulnerability maps for individual assets

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### 3.2.1. Population

353 Densely populated urban areas are mostly located along the coast and in the Rhône valley (Fig. 354 3a). In mountainous areas (e.g. the Alps), the most exposed areas are concentrated in valleys, 355 while exposure is more homogeneous in the plains. Sparsely populated areas such as Corsica 356 are little exposed. Sensitivity (Fig 4) is higher in the North-East and North-West of the study 357 area as well as in Corsica. This corresponds to the most remote locations (largely mountainous), which are characterized by a high share of people with reduced mobility (esp. elderly) and the 358 359 most distant to public and rescue services. Given the relatively low importance given to access 360 to financial resources by experts, the South-West area, despite high unemployment and low 361 wealth, appears as moderately sensitive. On the other hand, local clusters of intermediate 362 sensitivity appear in well-connected urban centers (e.g. Narbonne, Marseille) owing in part to the high proportion of shared housing and large households<sup>3</sup>. 363



Figure 3 - Maps of exposure for the three assets studied: population (a), ecosystems (b) and
infrastructures (c). Red areas indicate strong contribution to vulnerability (i.e. high
exposure, cf. Table 1).

AC mostly follows the spatial patterns of risk experience and financial resources, with high values (i.e. low contribution to vulnerability, blue-green on maps) on cities on the eastern coast where both indicators display high values. Urban areas along the Rhône valley and the southwestern coast display slightly lower AC due to only financial potential or risk experience being

<sup>&</sup>lt;sup>3</sup> Maps for individual indicators' contribution of vulnerability components are available as an Electronic Supplementary Material on the journal's website.

372 very strong respectively. Large urban areas (i.e. Marseille, Montpellier) display among the 373 highest values for AC and are also characterized by high levels of education and access to health services. Lowest AC is found in the mountainous hinterland, where not only risk 374 375 experience and financial resources are low, but also access to services, while social isolation is 376 stronger. Despite low levels of social isolation and relatively high financial resources, the Northern Rhône and Alpine valleys display intermediate AC owing to the low importance 377 378 given by experts to the factor, their low levels of risk experience and, for the latter, lower access to services. 379

380 Owing to low exposure despite high sensitivity and low AC, vulnerability is low or null in the hinterland and mountainous areas. On the contrary, urban areas along the Rhône valley and the 381 382 coast, while strongly exposed, show limited vulnerability due to low sensitivity and higher AC. 383 Areas where populations are most vulnerable are located at the margin between large urban 384 centers and the hinterland, around secondary cities and along the Alps valleys, where sensitivity 385 is high, AC low and exposure at an intermediate level. Figure 5 displays differences in 386 vulnerability when using AHP weights instead of equal weights. Using expert knowledge 387 increases vulnerability in remote areas (strong isolation), and decreases it along major 388 population axes, to a limited extent.



Figure 4 - Maps of sensitivity, adaptive capacity and vulnerability for the three assets studied:
population (a, d and g), ecosystems (b, e and h) and infrastructures (c, f and i). Red areas
indicate strong contribution to vulnerability (i.e. high sensitivity, low AC). Larger maps with
higher resolution can be found in electronic supplementary materials.

394 *3.2.2. Ecosystems* 

Ecosystem exposure matches the "forest and natural" type of land-use and therefore is highest in hilly and mountainous areas located further away from urban centers, agricultural land and vineyards.

Sensitivity is highest in areas that are both less surveilled and have high fuel loads, e.g. remote
mountainous locations to the North-East and North-West, but also in surveilled locations with
high fuel load and a strong presence of intrinsically sensitive forests, e.g., the Ardèche,

401 Cévennes and Vercors areas. Many areas with high intrinsic sensitivity, especially along the 402 coast and its immediate hinterland, display moderate sensitivity values owing to lower levels 403 of fuel load, surveillance of the area and proximity to fire stations. The moderating influence 404 of defensible areas can be witnessed locally, e.g. in Corsica where their presence results in 405 sensitivity values one or two classes lower than in similar, non-defensible locations. AC follows the general patterns of forests' intrinsic AC, even though some of these display low to 406 407 moderate levels of AC owing to the influence of fire return interval, e.g. along the South-Western coast. Risk experience and financial resources intervene as aggravating or moderating 408 409 factors locally.

410 Vulnerability is determined for low values by exposure, i.e., areas with little to no forests, 411 including locations with low AC or high sensitivity (e.g. Rhône Valley). The highest values for 412 vulnerability are found in elevated mountainous areas at the margins of the study area, where 413 both exposure and sensitivity are strong while AC displays low to intermediate values. Hilly to 414 mountainous areas along the Rhône river and along the South-Eastern coast display 415 intermediate to high vulnerability due to a conjunction of AC and sensitivity. Within these areas, vulnerability hotspots (e.g. within the Ardèche and Cévennes) are driven by locally high 416 417 values for sensitivity rather than low AC. The use of AHP weights leads to null or moderate decreases in assessed vulnerability in many locations, and moderate to strong increases in 418 remaining locations, owing to complex interplays between indicators. 419



420

421 Figure 5 – Difference in vulnerability when using AHP weights compared to using equal
422 weights for indicators. A value above a 1 means a higher vulnerability when using AHP
423 weights (e.g. 1.5 means +50%).

424

#### 3.2.3. Infrastructures

Exposure of infrastructures displays spatial patterns similar to that of population, i.e. high values along urban axes and a large share of pixels with null to very low values. However, it appears less concentrated as infrastructures may be encountered in rural areas despite low population rates for historical reasons (de-industrialization, rural exodus).

Sensitivity is highest along the Rhône valley and around the cities of Marseille and Nice, where it is driven by the presence of significant WUI, especially for the latter. Other locations with high sensitivity correspond to those close to industrial sites and located further away from fire stations (e.g. Ardèche, South-Western Alps, Western Languedoc). These locations also display a very high share of older buildings. Low sensitivity values are found locally in relatively isolated locations across the study area, where exposure is low.

435 AC is highest in southern Rhône Valley and along the South-Eastern coast owing to a 436 conjunction of abundant financial resources and, to a lesser degree, high risk experience and education (Montpellier, Marseille). Low to intermediate AC values are found in rural areas 437 438 with low financial resources. In such locations, high risk experience can be a moderating factor 439 (e.g. Cévennes, Corsica) and low access to services an aggravating factor (e.g. southern Alps). 440 AHP weights contribute to increasing assessed vulnerability in two types of locations: first around large urban centers of the South-Eastern coast (high WUI), second around sensitive 441 industrial sites to the West of the Rhône valley, where financial resources are also lower while 442 443 WUI is high.

#### 444 **3.3. Hazard and risk**

Figure 6 (a) displays average burned areas from 1000 simulations with the Firelihood model as a metric for hazard. We observe hazard to be highest to the South of the study area, especially along the coast, in the Southern Rhône valley, the Cevennes area and Corsica, while mountainous locations are much less concerned.



450 Figure 6 - Maps for (a) hazard (Firelihood model simulations), (b) population risk, (c)
451 ecosystems risk and (d) infrastructures risk. Red areas indicate high risk.

Risk maps show that once hazard is considered, some areas display low risk levels despite being vulnerable owing to lower hazard levels. For ecosystems, this is the case of the mountainous Alps (north-east) and Pyrenees areas (south-west), and also in Aubrac (northwest, mostly due to sensitivity). While these locations tend not to be highly vulnerable for 456 population and infrastructures due to their relative isolation, this moderating influence of 457 hazard is striking for ecosystems. In the end, ecosystems risk is highest in the Ardèche and 458 especially Cevennes and South-Eastern coastal areas. Corsica displays high levels of both 459 vulnerability and risk for ecosystems.

Similarly, due to low hazard levels, the center of urban areas (e.g., the cities of Montpellier and
Perpignan) have low levels of risk. While vulnerability tends to already be null or low for such
locations for ecosystems (no exposure) and infrastructures (no industrial site), the moderating
influence on risk is higher for populations.

464

#### 465 **4. Discussion**

466

#### 4.1. Spatial patterns of vulnerability and risk and policy response

467 Our study provides a basis for discussing with stakeholders how to identify vulnerable regions, 468 causative factors, and prioritize prevention and suppression measures. Besides, the separate 469 consideration of population, ecosystems and infrastructures helps planning differentiated 470 responses according to the importance given to each asset. A map-based approach may also 471 improve how choices are made spatially and help choosing appropriate measures in different 472 locations.

473 For example, while locations with highest vulnerability levels correspond to those already 474 known to public authorities (e.g., coastline, Languedoc and Cevennes), our work suggests the existence of secondary vulnerability hotspots, often very localized, specific to one asset and 475 476 caused by a limited number of factors. Besides, some areas also call for particular attention, 477 such as Corsica, which appears to be very exposed but with a low adaptive capacity despite 478 populations' strong experience of risk. This is a first step in identifying where more detailed assessments should be carried out with local services, or where specific policies (e.g. risk 479 prevention) may be the preferred course of action 480

Results also showed that risk was moderate-to-low in some locations with high vulnerability but low hazard values. Given that fire regimes are expected to aggravate and reach new areas (Pimont et al., 2022, Dupuy et al., 2020), the assessment of exposure, sensitivity, adaptive capacity and their underlying factors in such locations is needed. Areas without past experience will become exposed, which represents a challenge for the implementation of public policies adapted not only to the characteristics of the territory but also to those of the populations.

#### 487 *4.2. Stakeholder participation and feedback*

Our work also highlights the potential advantages of using expert knowledge in vulnerability studies applied to wildfire risk. Indeed, using the AHP aggregation also enabled us to get a quantified understanding of how sociodemographic and economic factors (e.g., risk experience, isolation), often overlooked in integrated assessments but known to be important in the specialized literature, compare to commonly found land-use, ecological and physical factors.

494 The method itself was highly appreciated by stakeholders, who emphasized the importance of including field-experts in applied research. Feedback stressed benefits both from a scientific 495 496 point of view, as well as in terms of the actors' ability to reflect on their professional activity: 497 "This is very beneficial because it gives us an opportunity to think and pushes us out of our 498 comfort zone. It makes us consider other types of indicators and wonder: what are the major factors to consider? It's interesting because it is questioning<sup>4</sup>". MCDA became part of the 499 500 knowledge creation process and gave birth to discussions on e.g. data availability and missing 501 indicators to develop, several participants insisting on the potential value of long-term 502 collaboration. Future endeavors could raise the question of integrating collective knowledge 503 and local populations as well, probably at finer geographical scales (e.g. landscape level).

Regarding future evolution as well, experts underline the importance of the participatory approach for the exploration of subjects with little hindsight: "*Being associated is very important because there is little collaboration between operational services and research. This work brings technical and scientific evidence in a context of change where we do not always have straightforward answers*<sup>5</sup>". Our work contributes to it by helping identify vulnerable areas even in the absence of hazard, and by inducing discussions on underlying factors.

<sup>&</sup>lt;sup>4</sup> Stakeholder's feedback from the workshop

<sup>&</sup>lt;sup>5</sup> Stakeholder's feedback from the workshop

#### 510 4.3. Limitations and further research

511 South-eastern France is heavily frequented by tourists during the fire season. Tourists are 512 particularly sensitive owing to their lower experience, harder access to information and harder 513 evacuation in heavily frequented locations. They were omitted owing to the difficulty of 514 deriving a simple indicator and of aggregating it to resident population numbers. Besides, 515 people are mobile and many casualties happen while fleeing, but we conceptualized exposure 516 as "before fire", our exposure metric was based on the town of residency, and proxies for 517 evacuation were independent of the fire event (e.g. housing type). Further work should strive 518 to consider evacuation in a dynamic manner, which may be easier at a finer geographical scale, 519 to include tourists. The inclusion of indicators related to ease of defense of a property could 520 also be improved, given that some people prefer to stay rather than flee (McLennan et al., 521 2012).

522 While the conceptual framework derived from previous studies proved flexible for a 523 hierarchical MCDA approach, boundaries between the several components of risk and 524 vulnerability remain unclear. This is the case e.g. for factors such as defendability, firefighting 525 access or landscape structure, which were considered to contribute to sensitivity but may also 526 affect how fires ignite and behave, i.e., hazard. Setting boundaries remains necessary for 527 practical purposes, but choices made must be remembered when analyzing and communicating 528 about results. Besides, we followed several authors and computed vulnerability as a product of 529 its sub-components, with the rationale that when an asset is e.g. not present, or does not sustain 530 damage, it is not vulnerable. Others have adopted an additive approach to vulnerability 531 (Oliveira et al., 2018). This seems to remain a debated question in the conceptual literature.

While the participative and exploratory objectives of our work were attained, its non-predictivenature hinders further applied uses. While vulnerability maps provide powerful discussion

534 tools, confidence in underlying values remains limited. Discussion-based subjective validation 535 (e.g. interviews, workshops) may be enough for our purposes, but a more objective validation 536 based on comparisons with external datasets would be necessary for prediction purposes. 537 Besides, even though the AHP's consistency ratios were small enough, results remain 538 contingent on experts interviewed, the choice of which can induce bias, and the small sample size hinders further analysis. To our knowledge, published vulnerability assessments do not 539 540 exist for our study area, only evaluations of hazard and exposure, the former of which we already included. Besides, comparisons between model-based vulnerability values and 541 542 composite indicators would be methodologically challenging owing to their distinct natures.

A subsequent and more ambitious effort would include a larger amount of field experts 543 544 throughout the research project, from indicator selection to ex-post discussion. They could be drawn from several Mediterranean regions and professional backgrounds, enabling cross-545 546 comparisons across locations, expert profiles, and validation of indicators weights by 547 comparing similar but independent expert groups. Methods such as fuzzy set theory could be 548 used to decrease uncertainty arising from experts' interpretation of the AHP's linguistic elements, increasing confidence in indicator weights (Donevska et al., 2012). Other world 549 550 regions subject to wildfire risk could also be studied (e.g. Australia, South America). Quantitative fire hazard evaluations are already performed there: applications of a participative 551 methods would widen the scope of research conducted and provide insights on how territorial 552 553 vulnerability is perceived and defined based on different local experience, environmental and 554 socio-economic contexts.

555 Finally, our assessment was conducted at the regional scale and assets were considered as the 556 aggregation of many individual units. Some locations with a low number of sensitive and non-557 resilient assets may appear as not vulnerable owing to a low exposure while, taken individually, assets would be considered vulnerable (e.g. pixels with very few but isolated and precarious elderly inhabitants). Our results should be taken as an assessment of vulnerability at a collective level, helpful for decision makers to prioritize the allocation of means, but not as an absolute evaluation. Other works should strive to develop a better understanding of vulnerability dynamics at the individual level, e.g. individual people's behaviors and factors of resilience.

563 **5.** Conclusion

564 In this article, we developed a participative approach to assessing and mapping territorial 565 vulnerability to wildfires in South-Eastern France, distinguishing between several components 566 of vulnerability: exposure, sensitivity and adaptive capacity, and three assets (populations, 567 ecosystems and infrastructures), thus providing an encompassing overview of the issue at stake. 568 This hierarchical framework was coupled to the Analytical Hierarchy Process to prioritize and spatially aggregate indicators taken from the specialized literature, which proved a relevant and 569 570 flexible way to integrate expert knowledge. Besides, model simulation data was used to derive 571 an indicator of fire hazard, demonstrating how classical approaches to fire risk assessment 572 could be used conjunctly to multi-criteria decision-making approaches. Results helped identify 573 vulnerable locations overall and for each asset individually. We were also able to highlight 574 major causative factors, and e.g. confirmed the importance of the wildland-urban interface and of experience with past fires. Secondary vulnerability hotspots were revealed, as well as 575 576 vulnerable locations not yet confronted to hazard but where prevention efforts may be needed 577 with respect to climate change. The participative approach also proved a successful manner of 578 engaging stakeholder discussion. Interviews with experts, even during the covid pandemic, 579 enabled discussions to take place, and an ex-post workshop was organized to put results into 580 perspective and get feedback on the process. Field experts were in particular pleased with the introduction of the adaptive capacity concept and the inclusion of socio-economic and 581

demographic data, which, according to them, encouraged novel ways of thinking. Further
research should include several fire-prone regions and a larger number of experts, enabling
comparisons across expert groups, locations, and the external validation of indicator weights
to allow for more applied uses.

#### **Credit author statement** 586

587	Miguel Rivière: Conceptualization, Methodology, Formal analysis, Data curation, Writing -
588	Original draft, Writing – review & editing, Visualization, Supervision, Project administration,
589	Funding acquisition
590	Jonathan Lenglet: Conceptualization, Methodology, Writing - Original draft, Writing -
591	review & editing, Visualization, Supervision, Project administration, Funding acquisition
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# Appendix A

780 Table A.1 – Scale used for pairwise comparison of factors (from Saaty 2004)

Score	Definition
1	Equal importance
3	Moderate importance of one over the other
5	Essential or strong importance
7	Very strong importance
9	Extreme importance
2, 4, 6, 8	Intermediate values between two adjacent judgements
Reciprocals	If factor i has one of the score assigned to it when
	compared with factor j, then j has the reciprocal score
	assigned to it when compared to factor i.

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