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## Hydrosol of *Thymbra capitata* Is a Highly Efficient Biocide against *Salmonella enterica* Serovar Typhimurium Biofilms

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1           Hydrosol of *Thymbra capitata* is a highly efficient biocide against biofilms of  
2    *Salmonella* Typhimurium: real-time visualization of bacterial inactivation by CLSM

3

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19   **RUNNING TITLE:** *T. capitata* hydrosol is an efficient antibiofilm agent

20

21 **Abstract**

22 *Salmonella* is recognized as one of the most significant enteric foodborne bacterial  
23 pathogens. In recent years, the resistance of pathogens to biocides and other  
24 environmental stresses, especially when these are embedded in biofilm structures, has  
25 led to the search and development of novel antimicrobial strategies capable of  
26 displaying both high efficiency and safety. To this direction, the aim of the present work  
27 was to evaluate the antimicrobial activity of hydrosol of the Mediterranean spice  
28 *Thymbra capitata* against both planktonic and biofilm cells of *Salmonella*  
29 Typhimurium, and to compare its action with the one of benzalkonium chloride (BC),  
30 a commonly used industrial biocide. In order to achieve this, the disinfectant activity  
31 following 6 min treatments was comparatively evaluated for both disinfectants by  
32 calculating their concentrations needed to achieve the same log-reductions against both  
33 types of cells. Their bactericidal effect against biofilm cells was also comparatively  
34 determined by *in situ* and real-time visualization of cell inactivation through the use of  
35 time-lapse confocal laser scanning microscopy (CLSM). Interestingly, results revealed  
36 that hydrosol was almost equally effective against both biofilms and planktonic cells,  
37 whereas 200 times higher concentration of BC was needed to achieve the same effect  
38 against biofilm compared to planktonic cells. Similarly, time-lapse CLSM revealed the  
39 significant advantage of the hydrosol to easily penetrate within the biofilm structure  
40 and quickly kill the cells, despite the 3D- structuration of *Salmonella* biofilm.

41

42 **Importance**

43 The results of this paper highlight the significant antimicrobial action of a natural  
44 compound that is hydrosol of *T. capitata*, against both planktonic and biofilm cells of  
45 a common foodborne pathogen. Hydrosol has numerous advantages as disinfectant of

46 food-contact surfaces. It is an aqueous solution which can easily be rinsed out from  
47 surfaces, it does not have the strong smell of the EO and it is a by-product of EOs  
48 distillation procedure without any industrial application. Consequently, hydrosol  
49 obviously could be of great value to combat biofilms and thus to improve product safety  
50 not only for the food industries, but probably also for many other industries which  
51 experience problems related to biofilms.

52

53 **Keywords:** Disinfection, hydrosol, natural antimicrobial agent, benzalkonium  
54 chloride, anti-biofilm, confocal laser scanning microscopy

55

## 56 **1. Introduction**

57

58 *Salmonella* is an important foodborne pathogenic bacterium that remains the  
59 most frequent causative agent of food-borne outbreaks occurring in the European Union  
60 (EU). In 2013, this accounted for 22.5 % of the total outbreaks reported (1). That year,  
61 a total of 82,694 confirmed cases of salmonellosis were reported by 27 EU member  
62 states, with an average notification rate of 20.4 cases per 100,000 people. The serovars  
63 Enteritidis and Typhimurium were the most commonly involved representing  
64 respectively 39.5 % and 20.2 %, of all reported serovars in confirmed human cases. It  
65 is also noted that while the most important sources of food-borne *Salmonella* outbreaks  
66 are eggs and egg products, *Salmonella* was also detected in many other types of foods  
67 as well (1).

68 Although the native habitat of *Salmonella* is considered to be the intestinal tract  
69 of diverse vertebrates, this genus has been shown to be also able to survive for extended  
70 periods of time in non-enteric habitats. It is strongly believed that its survival and

71 persistence in these non-host environments may be attributed to its great ability to form  
72 biofilms on various inanimate surfaces (2, 3). Biofilms are consortia of microorganisms  
73 adherent to each other and/or to a surface and embedded in a scaffold of self-produced  
74 extracellular polymeric substances (EPS) (4, 5). Thus, there is extended documentation  
75 on the ability of *Salmonella* to attach to various food-contact surfaces (such as stainless  
76 steel, plastic and cement) and form biofilms under *in vitro* conditions (6-10), while  
77 *Salmonella* cells have also been recovered from surfaces and products of various food  
78 industries (11-14). During the last decades, it has become increasingly clear that  
79 biofilms are the predominant mode of bacterial life in most environments (15-17).  
80 Biofilms formed in food processing environments are of special importance since they  
81 may act as a persistent source of product contamination which may lead to food  
82 spoilage and / or transmission of diseases (2, 3, 18, 19).

83 Poor sanitation of food-contact surfaces is believed to be an essential  
84 contributing factor in foodborne disease outbreaks. Disinfection procedures using either  
85 physical or chemical methods have been extensively used over the years to reduce or  
86 eliminate bacteria found on food-contact surfaces. However, current methods of  
87 sanitation of food facilities are not always sufficiently efficient because of the great  
88 resistance displayed by many foodborne bacteria, especially when these are grown into  
89 biofilms (15, 20, 21). Several mechanisms have been proposed to be involved in biofilm  
90 resistance to disinfectants, including: (i) limitations to the free diffusion of  
91 antimicrobial agents through the biofilm matrix, (ii) variability in the physical and  
92 chemical microenvironments within the biofilm (e.g., varied conditions of pH, osmotic  
93 strength, or nutrients) leading to varied levels of metabolic activity and cell adaptive  
94 responses, (iii) mutations and horizontal transfer of genes coding for resistance  
95 mechanisms (e.g. detoxifying membrane transporters), (iv) differentiation of bacterial

96 cells into physiological states less susceptible to treatments (e.g. dormant, viable but  
97 not culturable, VBNC), together with the presence of extremely resistant “persister”  
98 cells and (v) bacterial protection among multi-species consortium (15, 22).

99 This persistence of pathogens in food environments despite continuous  
100 disinfection led to the search and development of novel antimicrobial strategies capable  
101 of displaying both high efficiency and safety. The growing negative consumer  
102 perception against artificial synthetic chemicals has shifted this research effort toward  
103 the development and application of environmental friendly disinfectants. The latter  
104 should display high lethal activity against biofilms in small concentrations, be safe and  
105 easily degraded in the environment (15, 16, 23).

106 Plant extracts can provide unlimited opportunities for microbial control, owing  
107 to their great chemical diversity (24-26). In recent years, several reports demonstrating  
108 the antibacterial effect of crude essential oils (EOs) and / or their active components  
109 against bacteria embedded in biofilms have been published (27-33). However, there are  
110 still strict limitations on the practical application of these compounds for the  
111 disinfection of industrial surfaces, arising from their strong hydrophobic nature, which  
112 hampers their efficient rinsing out from surfaces after a disinfection program, and their  
113 intense smell. Hydrosols, which are by-products of the EOs distillation procedure (these  
114 are situated just under the organic phase at the end of steam distillation), are aqueous  
115 solutions and may thus be easily rinsed out from surfaces. In addition, they do not  
116 present the strong smell of the EOs and they do not have any industrial application until  
117 now (34). Interestingly, the antimicrobial action of the hydrosol fraction of *Satureja*  
118 *thymbra* EO has been demonstrated against single- and mixed-species biofilms  
119 composed of technological, spoilage and pathogenic bacteria (35), but to the best of our  
120 knowledge, this is the only report available on the anti-biofilm action of hydrosols. In

121 addition, antibacterial activity of hydrosols of several aromatic plants against  
122 planktonic pathogens has been shown (36-40). Using such compounds for the  
123 disinfection of surfaces in food industrial environments could thus be an interesting  
124 way to valorize them (16).

125 For the better characterization and understanding of biofilm resistance  
126 mechanisms, development of innovative microscopy techniques, such as confocal laser  
127 scanning microscopy (CLSM), together with improvements in fluorescent labeling  
128 have emerged. Direct investigation of biocide reactivity within the native structure of  
129 biofilms can now provide *in situ* important information on the dynamics of biocide  
130 action and spatial heterogeneities of bacterial susceptibility within these structurally  
131 and physiologically heterogeneous sessile consortia (41-44).

132 Taking into account all the previous, the aim of the present work was to evaluate  
133 the antimicrobial activity of hydrosol of the Mediterranean spice *Thymbra capitata*  
134 against both planktonic and biofilm cells of *Salmonella* Typhimurium and to compare  
135 its action with the one of benzalkonium chloride (BC), a commonly used industrial  
136 biocide. In order to achieve this, the antimicrobial activities of both disinfectants were  
137 first comparatively evaluated against 24 h planktonic and biofilm cells (on stainless  
138 steel coupons) by plate counting. Concentrations needed to achieve after 6-min  
139 treatments the same log-reductions of both type of cells were thus quantitatively  
140 determined. Afterwards, the bactericidal effect of the two tested disinfectants, when  
141 these were used at some selected concentrations (based on the previously obtained  
142 results), was comparatively evaluated by monitoring in real-time and *in-situ*  
143 inactivation of biofilm cells on polystyrene microplates through time-lapse confocal  
144 laser scanning microscopy (CLSM) analysis.

145

146 **2. Materials and methods**

147

148 *2.1. Bacterial strain, growth conditions, and preparation of bacterial suspension*

149 The bacterium used in this study was *Salmonella enterica* subsp. *enterica*  
150 serovar Typhimurim strain CDC 6516-60 (ATCC 14028) isolated from animal tissue  
151 of 4-week-old chickens (45). Before each experiment, the microorganism, stored in  
152 cryovials at -80°C, was resuscitated in two successive subcultures (7 h and 16 h) in  
153 Tryptone Soy Broth (TSB, Biomérieux, France) at 37°C. The working culture was a  
154 third subculture, incubated at 20°C for 24h and subsequently harvested by  
155 centrifugation (5000 g, 10 min, at 20 °C) for disinfectant testing. For the biofilm  
156 formation, the pellets were re-suspended and diluted in 10 ml of 150 mM NaCl in order  
157 to obtain a bacterial suspension adjusted at 10<sup>6</sup> cells/mL.

158

159 *2.2. Antibacterial agents*

160 The hydrosol of *Thymbra capitata* (pharmacy Provata, Athens, Greece) and the  
161 quaternary ammonium compound, benzalkonium chloride C14 (BC: MW, 368.04;  
162 puriss., anhydrous, 99.0%, Fluka, France) were used to carry out disinfectant tests.  
163 Different concentrations of hydrosol (25-75% for planktonic cells; 50-100% for  
164 biofilms) and BC (0.0006-0.002 % for planktonic cells; 0.125-0.5 % for biofilms) were  
165 prepared by diluting appropriate quantities of each antimicrobial compound in sterilized  
166 deionized water the day of the experiment.

167

168 *2.3. Chemical analysis of Thymbra capitata hydrosol and its related essential oil*

169 *T. capitata* hydrosol was chemically analyzed by Solid Phase Micro-Extraction  
170 followed by Gas Chromatography - Mass Spectrometry (SPME GC/MS) (46).

171 Hydrosol samples (10 mL) together with 3 g NaCl were placed into a 20 mL headspace  
172 vial fitted with a Teflon-lined septum sealed with an aluminum crimp seal, through  
173 which the SPME fiber 50/30 mm divinylbenzene/carbozen in poly-dimethyl-siloxane 2  
174 cm (Supelco, Bellefonte, PA, USA) was introduced. 4-methyl-2-pentanol was used as  
175 an internal standard at a final concentration 0.8 mg/L. The mix was equilibrated at 60  
176 °C for 45 min. After head-space extraction, the fiber was transferred to the GC injection  
177 port where the absorbed compounds were thermally desorbed for 3 min at 240 °C.  
178 The essential oil was diluted in pure hexane (1:9), 3 g NaCl were added to 10 mL of  
179 diluted oil and the mixture was then chemically analyzed by either SPME GC/MS  
180 analysis as described above or by GC/MS analysis following filtration using 0.22 µm  
181 filters and direct injection (1 µL). Gas chromatography-mass spectrometry (GC/MS)  
182 analysis was carried out with a 6890N system (Agilent Technologies, USA) equipped  
183 with an HP-5MS column (30 m, 0.25 mm inner diameter, film thickness 0.25 µm,  
184 Agilent technologies) and coupled with an Agilent Technologies 5973Networked mass  
185 detector. Column temperature was set at 35 °C for 6 min, increased at 60 °C at the rate  
186 of 2 °C/min, kept constant for 5 min, increased again to 200°C at the rate of 5 °C/min  
187 and then to 250°C at the rate of 25 °C/min and finished constant for 6 min. Helium was  
188 used as the carrier gas (linear velocity of 1.8 or 1.5 mL/min for SPME or direct analysis,  
189 respectively). The injector was operated in splitless mode for SPME analysis and in  
190 split mode (1:50 split ratio) for direct injection analysis. Both injector and detector  
191 temperatures were 240 °C. The mass spectrometer was operated in the electron impact  
192 mode with the electron energy set at 70 eV and 45–400m/z scan range. Results acquired  
193 were processed by ChemStation integrated software (Agilent Technologies) and  
194 constituents were identified by comparing mass spectra with reference spectra from  
195 NB575, stack and Wiley275 libraries, by spectra from standard compounds (in house

196 libraries) and by determining Kovats' retention indexes and comparing them with those  
197 reported in the literature. In SPME GC/MS analysis, the volatile compounds were semi-  
198 quantified by dividing the peak areas of the compounds of interest by the peak area of  
199 the IS and multiplying this ratio by the initial concentration of the IS (expressed as  
200 mg/L), while in direct injection GC/MS analysis the % area of volatile compounds was  
201 estimated. The peak areas were measured from the full scan chromatograph using total  
202 ion current (TIC). Each experiment was carried out in duplicate and the mean data are  
203 presented.

204

#### 205 *2.4. Biofilm formation*

206 Biofilms of *S. Typhimurium* were grown on 1-cm<sup>2</sup> stainless steel (SS) AISI 204  
207 coupons (Goodfellow, Cambridge Science Park, UK) and also on the wells of 96-well  
208 polystyrene microtiter plates (Greiner Bio-One 655090, France) with a Clear base  
209 (polystyrene; 190±5 µm thick) (47). Before use, the SS coupons were cleaned with  
210 surfactant RBS 35 (Société des traitements chimiques de surface, Lambersart, France),  
211 rinsed with deionized water and settled in the wells of a 24-well polystyrene microtiter  
212 plate (Techno Plastic products, Switzerland) (29, 48). 1 mL or 250 µL of bacterial  
213 subculture, as prepared above (~ 10<sup>6</sup> cells/mL), were respectively poured into the wells  
214 of the 24-well (containing SS coupons) and 96-well microplates. Adhesion on SS  
215 coupons or on polystyrene wells was done by sedimentation for 2 h at 20 °C.  
216 Subsequently, the planktonic bacterial suspension was removed and 1 mL (or 250 µL)  
217 of TSB was added in each well. Microtiter plates were incubated at 20 °C for 24 h  
218 without shaking to allow biofilm development (on either SS coupons or the polystyrene  
219 wells).

220

221        *2.5. Antimicrobial testing against planktonic cells*

222            Planktonic cells were challenged with the disinfectants using the EN 1040  
223 standard protocol (49). Briefly, 1 ml of a 100-fold diluted solution of the working  
224 culture (prepared as previously described) was centrifuged at 5000 g for 10 min.  
225 Subsequently, the pellet ( $\sim 10^7$  cells) was resuspended in 1 mL of each antimicrobial  
226 solution (at different concentrations) and left in contact for 6 min at 20°C. The  
227 antimicrobial action was halted by transferring a volume (1:9) to a quenching solution  
228 (3 g/L L- $\alpha$ -phosphatidyl cholin, 30 g/L Tween 80, 5 g/L sodium thiosulfate, 1 g/L L-  
229 histidine, 30 g/L saponine) for 10 min. Serial dilutions were then prepared and survivors  
230 were enumerated on Tryptic Soy Agar (TSA) using the 6  $\times$  6 drop count method (50).  
231 The control was performed in the same way with sterile deionized water instead of the  
232 disinfectant. The logarithm reduction achieved was the difference between the log<sub>10</sub> of  
233 the survivors after the test with deionized water (control) and the log<sub>10</sub> of the survivors  
234 after the test with the antimicrobial agent. For each condition, three to seven replicates  
235 were performed.

236

237        *2.6. Antimicrobial testing against biofilms formed on stainless steel coupons*

238            Following biofilm formation on SS coupons, the planktonic suspension was  
239 removed from each well and each coupon was once rinsed with 1 ml of 150 mM NaCl.  
240 Afterwards, each coupon was immediately challenged with 1 mL of each disinfectant  
241 solution (at different concentrations) for 6 min at 20°C (the same time as for planktonic  
242 cells). Antimicrobial action was halted by placing coupons for 10 min at 20°C in the  
243 quenching solution, as previously described. The survivors were removed from the  
244 surface by scratching with a plastic rake (folded pipette cone) in a standardized way  
245 (horizontal/vertical/oblique) and enumerated by plate counting as previously described.

246 Control was performed in the same way with sterile deionized water instead of the  
247 disinfectant and log reductions were calculated as previously described. For each  
248 condition, three to eight replicates were performed.

249

#### 250 *2.7. Calculation of resistance coefficients of the two biocides*

251 The resistance coefficient ( $R_c$ ) was determined for each biocide.  $R_c$  is equal to  
252  $C_{\text{biofilm}}/C_{\text{planktonic}}$ , where  $C_{\text{biofilm}}$  corresponds to the biocide concentration required to kill  
253 a given level of biofilm cells and  $C_{\text{planktonic}}$  to the concentration needed to kill the same  
254 level of planktonic cells (15).

255

#### 256 *2.8. Antimicrobial testing against biofilms formed on polystyrene evaluated by* 257 *time-lapse microscopy*

258 This method allows the direct investigation of biocide reactivity within the  
259 native structure of biofilms (42). To achieve this, cells were initially labelled with a  
260 viability fluorescent marker and subsequently submitted to disinfection. The  
261 antimicrobial action induces cell membrane's permeabilization and subsequently loss  
262 of fluorescence that represents cell's death.

263 Biofilms formed on polystyrene wells were initially stained with the esterase  
264 viability marker Chemchrome V6 (AES Chemunex, Ivry-sur-Seine, France) which can  
265 penetrate passively into the cell where it is cleaved by cytoplasmic esterases, leading to  
266 the intracellular release of fluorescent residues (green fluorescence). After the 24 h  
267 biofilm growth period, 100  $\mu\text{L}$  of the medium were gently removed from each well and  
268 replaced with 100  $\mu\text{L}$  of V6 solution (diluted 1:100 in B16 buffer) (42). Microplate was  
269 incubated in the dark for 20 min at 37 °C in order to reach fluorescence equilibrium.  
270 Afterwards, the whole liquid part above the biofilm was gently removed from each well

271 and biofilms were once rinsed with 100 µl of 150 mM NaCl to eliminate any excess of  
272 fluorescent dye. All the wells were refilled with 100 µl of 150 mM NaCl, except those  
273 wells where the action of 100% hydrosol would be checked (no dilution).

274 Time lapse CLSM analysis of antimicrobial action of the two biocides against  
275 *S. Typhimurium* biofilms was performed by using Leica SP2 AOBS confocal laser  
276 scanning microscope at MIMA2 microscopy platform (INRA)  
277 ([http://www6.jouy.inra.fr/mima2\\_eng/](http://www6.jouy.inra.fr/mima2_eng/)). The following acquisition parameters were  
278 adjusted: objective 63x oil with 1.4 numerical aperture, series of time lapse image scans  
279 256 x 256 pixels, speed of scan: 400 Hz, excitation 488 nm with argon laser, emission  
280 from 500 nm to 600 nm. A first xyz stack was measured (z-step 1 µm) to quantify the  
281 structural parameters of the biofilm before disinfection (biovolume, thickness, density  
282 etc). Subsequently a xyzt scan was done with a xyz measurement (with five sections in  
283 the biofilm) every 15 s during 25 min. Appropriate quantities of either hydrosol or BC  
284 were gently added to each well just after completion of the first xyz scan. Tested  
285 concentrations were 100%, 75%, 50% for hydrosol and 0.5% for BC (final  
286 concentrations in the wells). For each concentration, at least 8 replicates were  
287 performed.

288

### 289 *2.9. Image analysis of fluorescence loss and estimation of inactivation kinetic* 290 *parameters*

291 The intensity of green fluorescence was quantified by the LCS Lite confocal  
292 software (Leica microsystems). Intensity curves showing fluorescence loss were  
293 extracted separately for four different sections within the biofilms (from the attachment  
294 surface to the top of biofilm) (see results for distances), as well as for their maximum  
295 projection, which is the 2D projection of the 3D biofilm structure and represents the

296 fluorescence in the whole biofilm. Intensity values were normalized by dividing the  
297 fluorescence intensity recorded at the different time points by the initial fluorescence  
298 intensity values obtained at the same location. Three-dimensional projections of biofilm  
299 structure were reconstructed using the Easy 3D function of the IMARIS 7.0 software  
300 (Bitplane, Switzerland). Quantitative structural parameters of the biofilms (i.e.  
301 biovolume, density and thickness), were calculated using ICY, an open community  
302 platform for bioimage informatics, created by Quantitative Image Analysis Unit at  
303 Pasteur Institute (<http://www.bioimageanalysis.org/>).

304 GinaFiT, a freeware add-in for Microsoft Excel was used to model inactivation  
305 kinetics (51). This tool enables testing of nine different types of microbial survival  
306 models, and the choice of the best fit depends on five statistical measures (i.e., sum of  
307 squared errors, mean sum of squared errors and its root,  $R^2$ , and adjusted  $R^2$ ). During  
308 the present study, the “shoulder log-linear tail”, “log-linear tail”, or “log-linear”  
309 inactivation models were fitted to the fluorescence intensity curves obtained from the  
310 CLSM image series during biocide treatment. Two inactivation kinetic parameters were  
311 then extracted from this fitting: Sl, the shoulder length (min) that corresponded to the  
312 length of the lag phase (time period where fluorescence remains unreduced), and  $k_{max}$ ,  
313 the inactivation rate ( $\text{min}^{-1}$ ).

314

#### 315 2.10. Statistical analysis

316 All statistical analyses (oneway ANOVA, linear regression) were performed  
317 using JMP v8.0 software (SAS, Cary, USA). Significance was defined as a P value  
318 associated with a Fisher test value lower than 0.05.

319

### 320 3. Results

321

### 322 *3.1. Chemical analysis of T. capitata hydrosol and essential oil*

323 The chemical composition of *T. capitata* hydrosol was analyzed in order to  
324 identify the various antimicrobial compounds that it might contain. As hydrosol is a by-  
325 product of EO distillation procedure, it was interesting to also comparatively analyze  
326 *T. capitata* EO. Chemical composition of *T. capitata* hydrosol and EO are presented in  
327 Table 1.

328 This analysis revealed that *T. capitata* hydrosol is a complex mixture containing  
329 24 constituents. Its major compound is carvacrol (946,3 mg/L) followed by 1-octen-3-  
330 ol (10,8 mg/L), terpinen-4-ol (9,3 mg/L), borneol (6,6 mg/l),  $\alpha$ -terpineol (2,7 mg/l), p-  
331 cymene (1,2 mg/l),  $\gamma$ -terpinene (1,2 mg/l), eugenol (0,9 mg/l), linalool (0,8 mg/l) and  
332 thymol (0,8 mg/l). On the other hand, the main constituents of *T. capitata* EO are  
333 carvacrol (28.3%),  $\gamma$ - terpinene (31.2%) and p-cymene (20%). Other components such  
334 as  $\alpha$ -terpinene,  $\alpha$ -thujene and  $\alpha$ -pinene, E-caryophyllene, thymol were also found in  
335 this analysis. Interestingly, among the 24 hydrosol compounds, 14 were also detected  
336 in the EO, while ten oxygenated compounds were detected in hydrosol but not in the  
337 EO.

338

### 339 *3.2. Antimicrobial activity of hydrosol and BC against planktonic and biofilm cells* 340 *on stainless steel coupons*

341 Disinfectant efficacies of *T. capitata* hydrosol and BC were tested against 24 h  
342 *S. Typhimurium* planktonic and biofilm cells on SS coupons. Cell density in biofilms  
343 after 24 h of development reached  $7.47 \pm 0.46$  log cfu/cm<sup>2</sup>. To be able to make  
344 comparisons, the cell density of planktonic suspension was also adjusted to a similar  
345 level ( $7.96 \pm 0.23$  log cfu/ml).

346 Log reductions of planktonic (log cfu/ml) and biofilm cells (log cfu/cm<sup>2</sup>)  
347 achieved after 6 min exposure to different concentrations of BC (0.0006 - 0.5%) and *T.*  
348 *capitata* hydrosol (37.5 - 100%) are presented in Table 2.

349 First of all, biofilm cells have been found to demonstrate significant greater  
350 resistance to both biocides compared to the planktonic cells. Thus, higher  
351 concentrations of each biocide were required to kill the same number of biofilm cells  
352 compared to planktonic ones. More particularly, a 6 log reduction was achieved after  
353 treatment of planktonic cells with 0.0012 % BC, whereas 0.25 % BC was required to  
354 achieve the same log reduction of biofilm cells. An approximate 5 log reduction of  
355 planktonic and biofilm cells was obtained by applying respectively 42% and 75 %  
356 hydrosol solutions.

357 Rc for two different and representative log-reductions and mean Rc are  
358 presented in Table 2 for the two biocides. Based on these results, BC has a significantly  
359 higher Rc (208.3) than hydrosol (1.6). This actually means that BC needs to be 208 fold  
360 more concentrated to exert the same effect against biofilm cells than against planktonic  
361 ones, whereas hydrosol is almost equally efficient against both types of cells.

362

### 363 *3.3. Real time visualization of the biocide action against S. Typhimurium biofilm* 364 *cells on polystyrene by confocal laser scanning microscopy*

365 Antimicrobial efficiency of BC and *T. capitata* hydrosol against 24 h  
366 *S. Typhimurium* biofilms was evaluated using real-time visualization of fluorescence  
367 loss by CLSM. During control experiments (treatment with distilled water), a  
368 fluorescence loss less than 10% ± 3% of initial fluorescence was observed, after 25 min  
369 of treatment.

370 Before adding the disinfectant, the biovolume and thickness of *Salmonella*  
371 biofilm were respectively  $575155 \pm 212356 \mu\text{m}^3$  and  $55.4 \pm 10.4 \mu\text{m}$ . Three illustrative  
372 experiments for treatments with BC 0.5%, hydrosol 100% and hydrosol 75% are  
373 presented in Figures 1-3. All sections into biofilms treated with the same biocide were  
374 fitted by using the same inactivation model (BC 0.5% “shoulder log-linear tail”,  
375 hydrosol 100% ‘log linear tail’, hydrosol 75% ‘log linear’) demonstrating that each  
376 biocide acts with the same type of kinetics into the biofilm structure. A few images  
377 corresponding to the maximum projection of the whole biofilm as a function of time  
378 are represented under each Figure. From the Figures 1-3, it can firstly be observed that  
379 fluorescence loss is much quicker following treatment of biofilms with hydrosol 100%  
380 (and even 75 %) compared to BC 0.5%.

381 Inactivation parameters obtained following all repetitions conducted in this  
382 study (including the illustrative experiments described above) are shown in Table 3.  
383 Quantitative comparison of these inactivation parameters revealed some very  
384 interesting findings for the two biocides. SI for BC ( $10.7 \pm 7$ ,  $R^2=0.98 \pm 0.08$ ) was  
385 significantly different and markedly higher than the close to zero SI for hydrosol 100%  
386 ( $0.2 \pm 0.4$   $R^2=0.99 \pm 0.04$ ). Not only SI value was high, but there was also high standard  
387 deviation. In order to explain this big variability, SI values were correlated with biofilm  
388 biovolume, and it was found a significant important linear correlation (Prob>F 0.0432\*  
389  $p=0.05$ ) but Rsquare of the model was extremely low ( $R^2=0.26$ ). No significant  
390 difference was recorded in SI at the different sections inside the biofilm during the  
391 application of BC 0.5 %. Nevertheless, in the 1<sup>st</sup> section, mean SI ( $11.34 \pm 1.7$ ) was a  
392 little higher than 2<sup>nd</sup> ( $10.93 \pm 1.7$ ) which was a little higher than 3<sup>rd</sup> ( $10.58 \pm 1.7$ ).

393 With regard to the inactivation rates,  $k_{\text{max}}$  for BC ( $0.51 \pm 0.40$ ,  $R^2=0.98 \pm 0.08$ )  
394 was statistically significant lower than  $k_{\text{max}}$  presented for 100% hydrosol ( $0.82 \pm 0.36$ ,

395  $R^2=0.99 \pm 0.04$ ). Consequently, BC 0.5 % has lower bactericidal effect on  
396 *S. Typhimurium* biofilms than hydrosol 100%, which was very efficient from the first  
397 seconds of its application leading to total biofilm eradication within 2-3 minutes. No  
398 significant difference was observed in  $k_{max}$  values at different sections with the biofilms  
399 whatever the disinfectant.

400 Comparing the two concentrations of hydrosol, hydrosol 75% resulted in a  
401 much lower  $k_{max}$  ( $0.24 \pm 0.17$ ) than hydrosol 100% and a higher SI ( $3.44 \pm 2.79$ ). For  
402 hydrosol 75%, no significant differences were observed in  $k_{max}$  and SI within the  
403 different sections inside the biofilm, just like for hydrosol 100%. It should be noted that  
404 hydrosol 50% was also tested in this study but it didn't exhibit sufficient bactericidal  
405 activity presenting an almost zero inactivation rate ( $0.03 \pm 0.02$ ) (data not shown).

406

#### 407 **4. Discussion**

408 *Thymbra capitata* is a species of aromatic plants native to the Mediterranean  
409 region of southern Europe, North Africa, and the Middle East. It belongs to the family  
410 of Lamiaceae, which includes many widely used culinary herbs (such as basil, mint,  
411 rosemary, sage, savory, marjoram, oregano, and thyme). The anti-biofilm activity of its  
412 EO has already been described (52, 53), but not the one of its hydrosol. It was first  
413 important to characterize hydrosol composition by SPME GC-MS in comparison with  
414 the one of the original essential oil (EO). The main constituents of *T. capitata* EO were  
415 oxygenated phenolic monoterpene carvacrol, monocyclic monoterpene  $\gamma$ -terpinene and  
416 the alkylbenzene p-cymene, as found in previous studies (54-56). However, carvacrol  
417 content in this study was much lower (20%) than previous reported concentrations (60-  
418 70%). In addition, other components detected here, such as monocyclic monoterpene  
419  $\alpha$ -terpinene, bicyclic monoterpenes, as  $\alpha$ -thujene and  $\alpha$ -pinene, acyclic monoterpenes

420 myrcene or linalool and the sesquiterpene E-caryophyllene, were also described as  
421 important compounds (55, 57, 58). The chemical composition of hydrosols of aromatic  
422 herbs produced in the same extraction process of EOs is not commonly studied. Their  
423 chemical analysis is the subject of only a limited number of publications (34, 59-62).  
424 However, to the best of our knowledge, the chemical composition of *T. capitata*  
425 hydrosol has not been reported until now. In this study, the chemical analysis revealed  
426 that this is a complex mixture containing part of the EO components. Thus, among 24  
427 constituents that were detected, 14 were in common with the EO. However, some  
428 components, such as  $\alpha$ -terpinene, myrcene, camphene and limonene, were missing in  
429 the hydrosol. Most of these missing compounds are completely insoluble in water,  
430 while some others probably disappear quickly from the acidic hydrosol with diverse  
431 degradation mechanisms (61). Some of the components that were found only in  
432 hydrosol and not in the EO could derive from chemical reactions occurring during  
433 hydro-distillation or extraction procedure before the GC analysis (59).

434 In this study, *T. capita* hydrosol was found to have a high bactericidal activity  
435 against planktonic cells, as  $1.2\pm 0.2$ ,  $2.8\pm 0.5$  and  $4.7\pm 0.7$  log reductions were achieved  
436 by applying 37.5, 40 and 42 % (v/v) respectively. Information on the evaluation of  
437 different hydrosols as disinfectants is available (36-40). However, to the best of our  
438 knowledge, the antimicrobial action of *T. capita* hydrosol fraction has not been  
439 recorded yet.

440 Based on our results, *T. capitata* hydrosol presented a significant anti-biofilm  
441 action (complete eradication with 100% (v/v), approximate 5 log reduction with 75%)  
442 (Table 2). Antibacterial action of *Satureja thymbra* hydrosol against 5-days mono- and  
443 multi-species biofilms of *Pseudomonas putida*, *Salmonella enterica* and *Listeria*  
444 *monocytogenes* has been previously shown (35), but it is the only one reporting anti-

445 biofilm action of hydrosols. *T. capitata* and *S. thymbra* are similar species which belong  
446 to the same family of Lamiaceae (also called Labiatae). Although it is difficult and often  
447 misleading to compare results obtained in different studies, lower exposure time was  
448 actually required in the current study for the complete eradication of biofilm (6 min  
449 instead of 60 min) and a lower dose (75% instead of 100%) was also sufficient (35).

450 The Rc observed for hydrosol was very low (1.6) showing that this is almost  
451 equally active against both planktonic and biofilm cells (Table 2). In the literature, the  
452 Rc values of various biocides could range from 1 to 1000 depending on the considered  
453 species and antimicrobial agent (15). Among all biocides reported, only hydrogen  
454 peroxide and phenol exhibited the minimum Rc=1. Biocides with the second lowest Rc  
455 (=4) were of plant origin (oregano, carvacrol, thymol and eucalyptus oil) (15). It is  
456 noteworthy that hydrosol had a lower Rc than the ones of its main components carvacrol  
457 or thymol alone (however tested against biofilms of different bacterial species),  
458 revealing a very promising anti-biofilm agent.

459 BC (Rc 208.3) was shown much less efficient compared to hydrosol against  
460 *Salmonella* biofilms. This thus needed to be more than 200 times more concentrated to  
461 exert the same effect on biofilm cells than on planktonic ones. In the literature, the Rc  
462 values for BC range from 10 to 1000, but in most cases these are above 50 (15). Typical  
463 sanitizing concentrations of quaternary ammonium compounds, such as BC, range from  
464 0.02 to 0.1% (v/v), but these are more generally applied at 0.02% (v/v) (63). According  
465 to some authors, the recommended user concentration of BC can be a little bit higher at  
466 0.07% (v/v) (64). However, in the present study it was demonstrated that BC at 0.125%  
467 has an inadequate bactericidal effect against *S. Typhimurium* biofilm cells, resulting  
468 only in a 2 log reduction, whereas a sufficient 6 log reduction was only achieved with  
469 BC 0.25% (Table 2). Similarly, 0.02% BC failed to eradicate 48 h *S. Typhimurium*

470 biofilm on concrete (only 0.22 log-reduction) (65). It was also reported that BC 0.75 %  
471 was required to eradicate 3 days biofilms of *S. Typhimurium* (64). All these findings  
472 point out that BC, a nevertheless very common industrial disinfectant, is not effective  
473 against *S. Typhimurium* biofilms when this is applied at the recommended or generally  
474 used concentrations. This constitutes a common phenomenon for many industrial  
475 sanitizers, since the standards for testing disinfectant efficiency, such as European EN  
476 1040 method (49), widely utilize planktonic cultures and results do not reflect the  
477 efficacy against bacteria in a biofilm state. However, it should be noted that the use of  
478 BC at high concentrations required for biofilm eradication may be not easily applied in  
479 food industry because of the risk of leaving residues in food. BC maximum residue  
480 level (MRL) for food and feed, laid down in Regulation (EC) No 396/2005, is 0.5 mg/kg  
481 (June 2014). Moreover, 0.1% is the maximum concentration of BC that does not  
482 produce primary irritation on intact skin or act as a sensitizer (66).

483 In the present study, spatial and temporal dynamics of the biocide actions were  
484 evaluated towards biofilms in real time and *in situ* by confocal laser scanning  
485 microscopy (CLSM). The spatial information obtained from CLSM analysis is of great  
486 importance because biofilms are known to present structural and physiological  
487 heterogeneity (67-69). The obtained temporal information can provide clues about the  
488 protective mechanisms of cells into the biofilm. For example, when a tolerant  
489 subpopulation is present, the shape of the inactivation curve should be concave up, and  
490 when a reaction-diffusion interaction limits the rate of access of the antimicrobial agent  
491 into the biofilm the shape of the inactivation curve should be concave down (70, 71).  
492 We have examined here the spatial action of both biocides in *S. Typhimurium* biofilms  
493 (Fig. 1-3, Table 3). BC 0.5% exhibited high SI values which means that there is an  
494 important initial time period at which it is totally ineffective against biofilm cells. Such

495 patterns of inactivation indicate the existence of transport limitations which is in  
496 accordance with previous references presuming that the restricted penetration of BC  
497 into biofilms might be one of the key processes explaining the resistance of biofilms to  
498 this biocide (42). However, contrarily to *P. aeruginosa* ATCC 15442 biofilm (42), no  
499 significant difference in inactivation kinetics at different depths of the biofilm were  
500 obtained, even if there was approximately 40 sec more delay in the biocide action of  
501 BC 0.5% at each section situated deeper into the biofilm. This is probably due to  
502 differences in biofilm thickness and in composition of matrix for the two bacterial  
503 species.

504 On the contrary, hydrosol 100% caused a uniform and direct linear loss of  
505 fluorescence in biofilm cell clusters of *S. Typhimurium*, suggesting that the slightly  
506 greater resistance of the biofilm compared to planktonic cells observed in this study for  
507 this biocide could not be due to limitations affecting its penetration into the biofilm. In  
508 addition, hydrosol 100% displayed a relatively high inactivation rate resulted in a rapid  
509 and total cells permeabilization throughout the biofilm within a few minutes (Fig. 2,  
510 Table 3).

511 The composition of hydrosol can explain its tremendous efficiency. *T. capitata*  
512 hydrosol's main constituent is carvacrol with its concentration to be 943.6 mg/L  
513 (0.0943%). Several studies have demonstrated that carvacrol has both bacteriostatic and  
514 bactericidal activity against foodborne microorganisms including *Salmonella enterica*  
515 (27, 72-74). The effectiveness of carvacrol as a natural antimicrobial compound is well  
516 established and its mechanism of action is believed to be associated with structural and  
517 functional damage to cellular membranes (25, 28, 74-76). It has also been previously  
518 demonstrated as an anti-biofilm compound. Biofilm eradication concentration (BEC, 5  
519 log reduction) of carvacrol against *L. monocytogenes* biofilms on SS coupons and

520 microplates was obtained at 5 mM (0,0750 mg/L) (77). Similarly, it was reported that  
521 carvacrol BEC against *S. aureus* and *S. epidermidis* biofilms on microplates ranged  
522 from 0.125 to 0.5 % (v/v) (78). Low levels (2 and 3 log CFU/g) of *S. Enteritidis* and *E.*  
523 *coli* O157:H7 were inactivated when radish seeds were treated with 4000 ppm and 8000  
524 ppm (0.4-0.5%) of carvacrol for 60 min (79). The markedly effective antimicrobial and  
525 anti-biofilm action of the *T. capitata* hydrosol against *S. Typhimurium* could thus be  
526 partly attributed to its high carvacrol content. However, other constituents of this  
527 hydrosol, such as terpinen-4-ol, thymol and eugenol, have also previously shown  
528 antibacterial properties (25, 72, 78, 80, 81). Their concentrations in the hydrosol were  
529 much lower compared to carvacrol's concentration, but synergistic action can occur  
530 between all these compounds and can explain the overall high efficiency of the  
531 hydrosol. In previous studies, combinations of different compounds from EOs, in  
532 particular carvacrol, thymol and eugenol, showed synergistic activity (29, 72, 82, 83).  
533 The high efficiency of hydrosol is also probably due to its high water solubility. We  
534 can thus assume that active molecules of the hydrosol can better diffuse in aqueous  
535 medium around planktonic bacteria or biofilm cells and their efficiency get improved  
536 compared to the EOs that need initial solubilization in an organic solvent (ethanol,  
537 DMSO) before introduction into an aqueous medium.

538

## 539 **5. Conclusions**

540 It is of great importance to take into consideration that bacteria can manage to  
541 survive in many harsh environments, like those encountered in food processing, mainly  
542 being enclosed into biofilms, sessile consortia which present higher resistance against  
543 many environmental stresses (e.g. application of antimicrobial agents) compared to  
544 their planktonic counterparts. Although the study of biofilms has come into sharp focus

545 in recent years, more research is required to further understand the intricate mechanisms  
546 accounting for biofilm recalcitrance and to develop efficient methods to control them.  
547 Both methods used in this study led to the same conclusion for both biocides. BC, which  
548 is a common industrial disinfectant, proved to have insufficient anti-biofilm activity at  
549 user's recommended concentration. On the contrary, *T. capita* hydrosol was clearly  
550 demonstrated as a highly efficient anti-biofilm agent. The findings of this study i.e. the  
551 efficiency to kill biofilm cells through a hydrosol fraction, an aqueous solution, which  
552 is easily rinsed out from surfaces, and does not have the strong smell of the EO, may  
553 be of great value not only for the food industries, but probably also for many other  
554 industries which experience problems related to biofilms. This obviously could be an  
555 interesting alternative and / or supplementary way to combat biofilms, being one  
556 innovative, natural and environmentally safe antimicrobial agent. Indeed as hydrosols  
557 are by-products of EOs distillation procedure, without any industrial application until  
558 now, it could be useful and smart to apply such natural compounds for the disinfection  
559 of surfaces in these environments. However, before its extensive use as disinfectant in  
560 the food industry and other environments facing hygiene problems, more safety studies  
561 based on toxicology data should be carried out.

562

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- 860

861 List of tables:

862 **Table 1.** Constituents of *T. capitata* hydrosol and EO, as identified by SPME GC/MS,  
 863 and their (w/v) concentration or percentage composition

Compounds	KI	Hydrosol mg/L	Essential oil %area SPME
Toluene	<800	0.2	
2-methyl-Butanoic acid, methyl ester	<800	0.3	
N-ethyl-1,3-dithioisindoline	821	0.6	
(Z)-3-Hexen-1-ol	847	0.7	
$\alpha$ -Thujene	912	0.1	2.5
.alpha.-Pinene	917	0.1	2.7
Camphene	933		0.8
Mesitylene	957	0.2	
.beta.-Pinene	964		0.7
1-Octen-3-ol	980	10.8	0.2
.beta.-Myrcene	990		2.0
3-octanol	997	0.4	
.alpha.-Phellandrene	999		0.3
3-Carene	1005		0.1
$\alpha$ -Terpinene	1013		2.4
p-Cymene	1020	1.3	2.3
Eucalyptol	1026	0.1	nd
Limonene	1028		0.8
trans- $\beta$ -Ocimene	1061		0.1
$\gamma$ -Terpinene	1063	1.2	35.3
1-methyl-4-(1-methylethenyl)-Benzene	1105	0.1	0.1
$\alpha$ -Terpinolene	1109		0.1
Linalool	1123	0.9	0.8
Borneol	1172	6.6	0.3
Terpinen-4-ol	1181	9.3	0.3
$\alpha$ -Terpineol	1224	2.7	<0.1
1-Isopropyl-2-methoxy-4-methylbenze	1250		0.7
D-Carvone	1257		<0.1
Thymol	1299	0.8	1.1
Carvacrol	1332	946.3	20.4
p-Cymen-7-ol	1323		1.2
4-Hydroxy-3-methylacetophenone	1329		0.2
Eugénol	1368	1.2	
Phenol, 5-methyl-2-(1-methylethyl)-, acetate	1377		0.1
Anethole	1381	0.2	
Caryophyllene	1421		0.4
Ethanone	1428	0.1	
1-Acetyl-4-methyldibenzofuran	1451	0.2	
Phenol, 2,4-bis(1,1-dimethylethyl)	1517	0.1	
(-)-Spathulenol	1581		nd
Caryophyllene oxide	1587		0.1
( $\alpha$ -Caryophylladienol)	1640		nd
2,3,4,6-Tetramethylphenol	2079		nd
Hexanedioic acid, bis(2-ethylhexyl) ester	>2200		nd

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865

866 **Table 2.** Log reductions of planktonic (log cfu/ml) and biofilm cells (log cfu/cm<sup>2</sup>) after  
 867 6 min disinfection treatments with BC and *T. capitata* hydrosol and resistance  
 868 coefficients Rc  
 869

Biocide	Log reduction		Concentration (%)		Rc*	Mean Rc
	Planktonic (cfu/ml)	Biofilm (cfu/cm <sup>2</sup> )	C <sub>planktonic</sub>	C <sub>biofilm</sub>		
BC	>7.5	>7.5	0.0022	0.5		
	6.2 ±0.6	6.1 ±0.6	0.0012	0.25	208.3	
	2.7 ±0.3	2.2 ±0.1	0.0006	0.125	208.3	
						<b>208.3</b>
Hydrosol	>7.5	>7.5	45	100		
	4.7 ±0.7	4.7 ±0.1	42	75	1.8	
	1.2 ±0.2	1.2 ±0.2	37.5	50	1.3	
						<b>1.6</b>

870  $Rc = C_{\text{biofilm}}/C_{\text{planktonic}}$ , where  $C_{\text{biofilm}}$  corresponds to the biocide concentration  
 871 required to kill a given level of biofilm cells and  $C_{\text{planktonic}}$  to the concentration needed  
 872 to kill the same level of planktonic cells.

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876 **Table 3:** Inactivation parameters [ $k_{\max}$ , inactivation rate ( $\text{min}^{-1}$ ); SI, shoulder length  
877 (min)] determined for each biocide and its respective concentrations for four different  
878 sections within the biofilms, as well as for their maximum projection, which  
879 represents the fluorescence in the whole biofilm. Mean values are presented  $\pm$   
880 standard errors.

881

Biocide		$k_{\max}$	SI
(n replicates)			
Hyd 100% (n=18)	Max projection	0.82±0.09	0.2±0.13
	Section 1	0.82±0.09	0.56±0.13
	Section 2	0.85±0.09	0.28±0.13
	Section 3	0.84±0.09	0.26±0.13
	Section 4	0.84±0.09	0.27±0.13
Hyd 75% (n=7)	Max projection	0.24± 0.06	3.44±2.79
	Section 1	0,25± 0.06	7.04±2.79
	Section 2	0.25± 0.06	3.69±2.79
	Section 3	0.24± 0.06	3.59±2.79
	Section 4	0.21± 0.06	5.05±2.79
BC 0.5% (n=18)	Max projection	0.51±0.09	10.70±1.15
	Section 1	0.64±0.09	11.34± 1.67
	Section 2	0.57±0.09	10.93±1.67
	Section 3	0.48±0.09	10.5±1.71
	Section 4	0.37±0.09	11.53±1.89

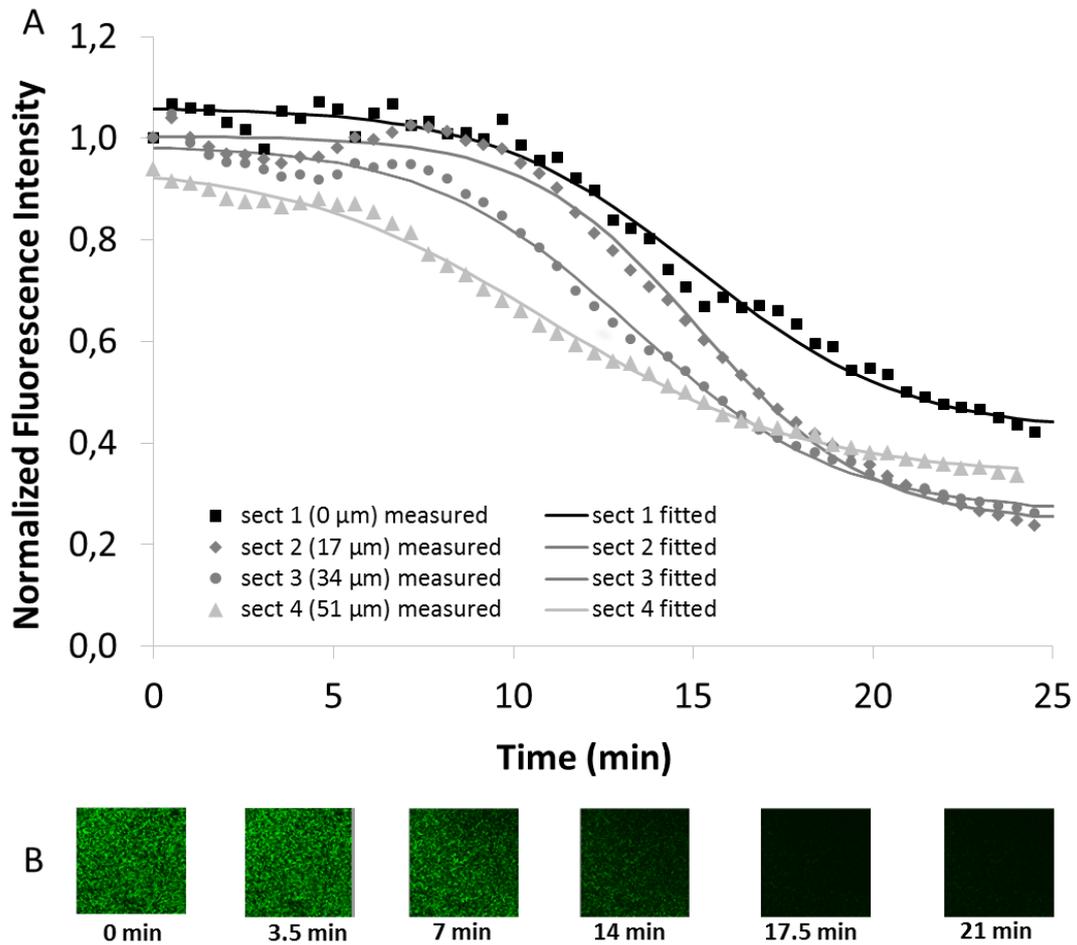
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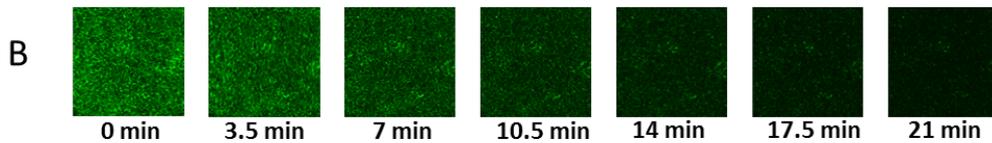
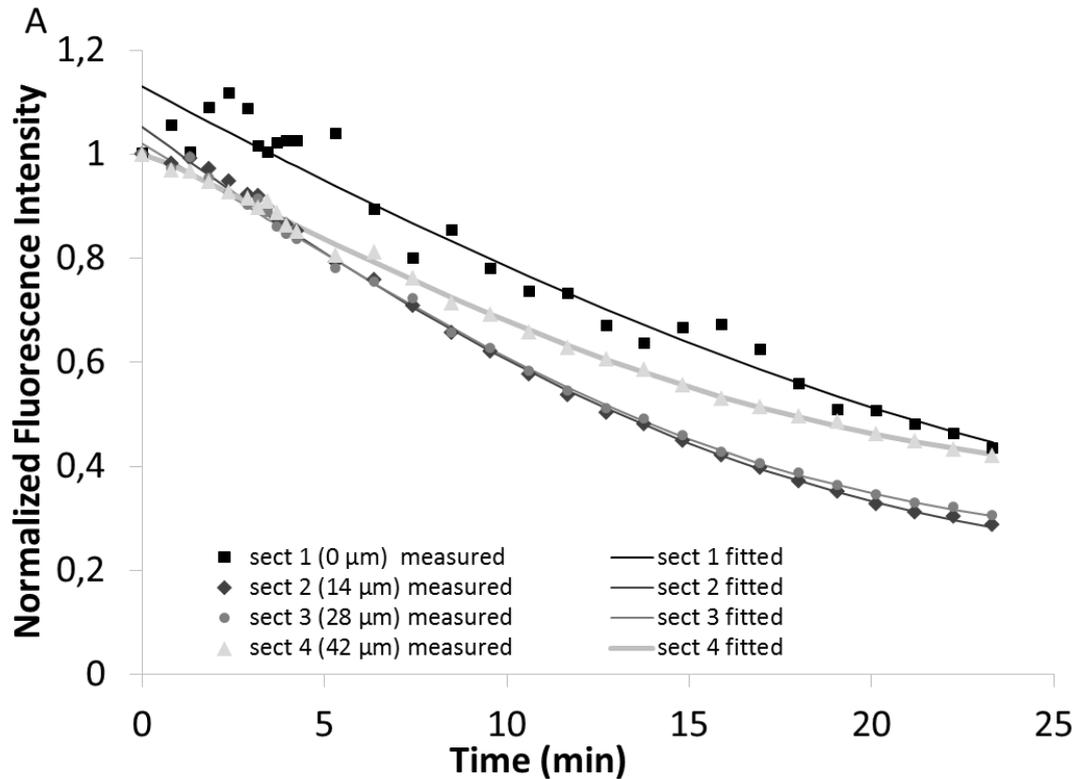
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888 **Figure 1.** Quantification of fluorescence intensity during BC 0.5% (v/v) treatment (A)  
889 and series of images of Chemchrome V6 fluorescence in *S. Typhimurium* biofilms  
890 during treatment (B). The values represent the loss of fluorescence at four sections into  
891 the biofilm: **sec 1** (■) 0 μm bottom, **sec 2** (◆) 17 μm, **sec 3** (●) 34 μm and **sec 4** (▲) 51  
892 μm. Shoulder length SI and inactivation rate  $k_{max}$ , were obtained after GInaFIT  
893 modeling.

894



895

896 **Figure 2.** Quantification of fluorescence intensity during *T. capitata* hydrosol 75%

897 (v/v) treatment (A) and series of images of Chemchrome V6 fluorescence in

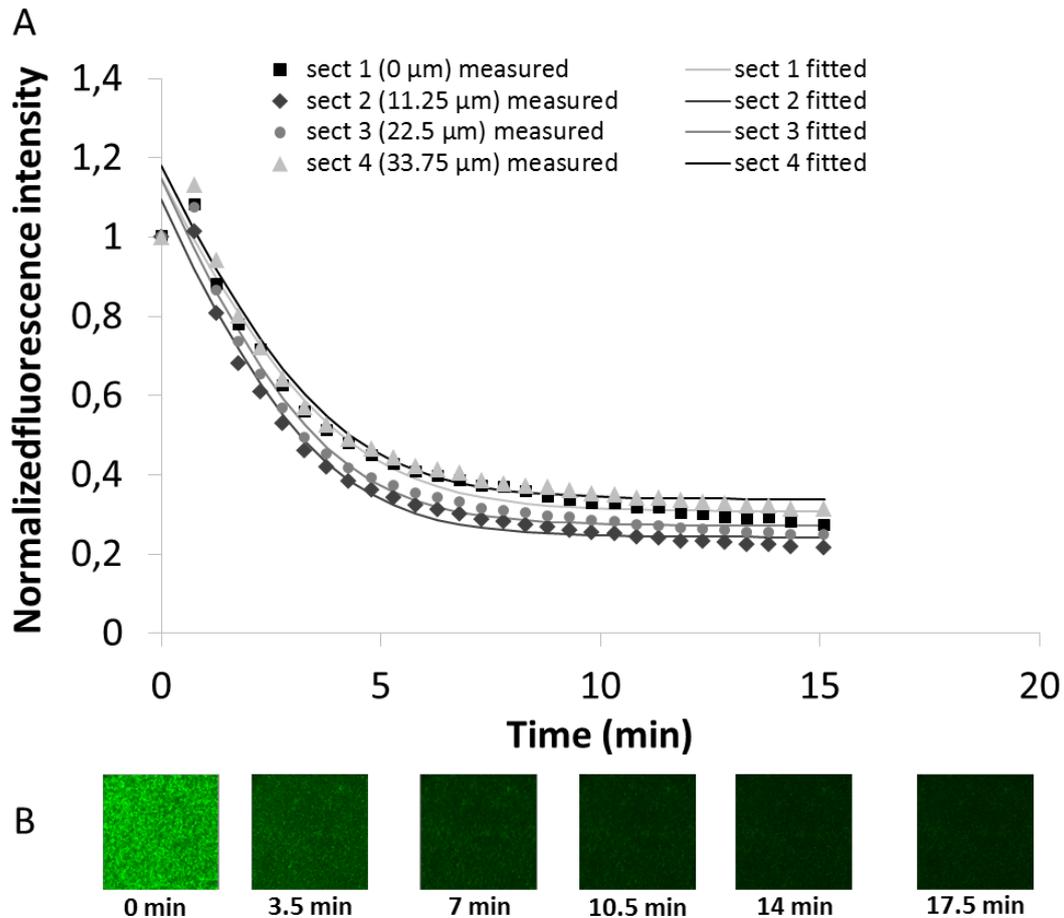
898 *S. Typhimurium* biofilms during treatment (B). The values represent the loss of

899 fluorescence at four sections: **sec 1** (■) 0 μm, bottom, **sec 2** (◆) 14 μm, **sec 3** (●) 28

900 μm and **sec 4** (▲) 42 μm into the biofilm. Inactivation rate  $k_{max}$ , was obtained after

901 GInaFIT modeling.

902



903

904 **Figure 3.** Quantification of fluorescence intensity during *T. capitata* hydrosol 100%  
 905 (v/v) treatment (A) and series of images of Chemchrome V6 fluorescence in  
 906 *S. Typhimurium* biofilms during treatment (B). The values represent the loss of  
 907 fluorescence at four sections: **sec 1** (■) (0  $\mu\text{m}$ , bottom), **sec 2** (◆) (11.25  $\mu\text{m}$ ), **sec 3** (●)  
 908 (22.5  $\mu\text{m}$ ) and **sec 4** (▲) (33.75  $\mu\text{m}$ ) into the biofilm. Inactivation rate  $k_{\text{max}}$ , was  
 909 obtained after GInaFIT modeling.

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