Designing innovative productive cropping systems with quantified and ambitious environmental goals

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Abstract

Agriculture must face a number of very pressing environmental issues. We used the prototyping method to design three innovative cropping systems, each satisfying three ambitious goals simultaneously: (i) overcoming a major environmental constraint, which represents a major break regarding objectives to be reached in current cropping systems (differing between systems: a ban on all pesticides but with chemical N fertilizer permitted; reducing fossil energy consumption by 50%; or decreasing greenhouse gas emissions by 50%), (ii) meeting a wide range of environmental criteria, and (iii) maximizing yields, given the major constraint and environmental targets. A fourth cropping system was designed, in which the environmental and yield targets were achieved with no major constraint (PHEP system). The performances of these innovative cropping systems were compared to a conventional system in the Ile-de-France region. We used a three-step prototyping method: (1) new cropping systems were designed on the basis of scientific and expert knowledge, (2) these system prototypes were assessed with tools and a model (*ex ante* assessment) adjusted to the set of constraints and targets, with optimization by an iterative process until the criteria were satisfied and (3) evaluation in a long-term field experiment (*ex post* assessment), which is currently underway. We describe only the first two steps here, together with the results of the prototypes assessment with tools and a model. The pesticide, energy and greenhouse gas constraints were fulfilled. All these innovative systems satisfied environmental criteria in terms of nitrogen and phosphorus management, pesticide use, energy consumption and crop diversity. For the pesticide-free system, the soil organic matter indicator was lower than expected due to frequent plowing (every two years) and yields were 20 to 50% lower than for the PHEP system, depending on the crop considered. We focus our discussions on the design methodology and the availability of scientific knowledge and tools for projects of this type.

Keywords

Innovative cropping systems, environmental goals, *ex ante* assessment.

Introduction

Agriculturalists are faced with challenges relating to very pressing environmental and health issues, including the need to decrease pesticide use. In many countries, high levels of pesticides have frequently been found in rivers, lakes and groundwater[1,2]. A second pressing environmental issue is the consumption of fossil fuel. Energy use has markedly increased over the last decade[3], and some scientists agree that oil availability will decline in the near future[4], leading to a sharp increase in oil prices[5]. In this context, new ways of optimizing or reducing energy use have been proposed[6]. Global warming is a third challenging environmental issue facing agriculture. About 12% of global greenhouse gas (GHG) emissions emanate from agricultural lands[7], and this proportion is expected to rise in the future, due to increases in the amount of land used for agricultural purposes and the intensification of agricultural practices[8]. Carbon (C) sequestration in the soil, through the return of crop residues, root deposition and organic amendments, may help to decrease GHG emissions[9]. Sustainable development is another pressing social issue. Sustainable agriculture must satisfy environmental criteria[10]. The harmful impact of agriculture on the environment can be lessened by optimizing fertilization (N, P) and increasing crop diversity. Currently pesticide use and energy consumption should also be reduced[1,2] , and soil fertility should be maintained. Since the 1950s, alternative crop management systems have been proposed[11] and legislation and inspection services have controlled the use of inputs (pesticides, N fertilization). Finally, agricultural production must satisfy the food needs of a soaring world population[10]. Global agricultural production currently feeds a population of approximately seven billion. Current projections suggest that the world population will have reached nine billion by 2050[12]. The resulting increase in the need for land for housing will reduce the amount of land available for agricultural purposes[13]. The availability of arable land per capita differs greatly between regions (*e.g.*, between China and South America), and major cropping systems must take this scarcity into account. Foley[14] have suggested that feeding a population of this size will be possible only if agricultural systems change, along with human eating habits.

Many studies in recent years have focused on the design and assessment of new cropping systems. New crop management strategies have been proposed to decrease pesticide use[15], to decrease energy consumption[16], or to enhance C sequestration through crop management practices[17]. Energy use and greenhouse gas emissions have been calculated and assessed for different systems[18]. At the cropping system level, long-term trials also have been set up to investigate the effects of different technical operations, such as N fertilization, on soil physical and chemical properties[19] and on soil biology[20]. Several studies have assessed the differences between organic, integrated and conventional cropping systems in terms of C sequestration[21], energy efficiency and use[22], profitability[23] or productivity[24] . Some authors have analyzed the impact of different degrees of tillage on productivity[25] or biological activity[26]. Others have focused on the effect of cropping systems on biodiversity[27], or have used life cycle assessment methods to analyze the sustainability of

various farming systems[28]. In most of these cases, new cropping systems were designed by modifying a few agricultural practices targeting a single goal (*e.g.,* no chemicals to be used in organic cropping systems; no ploughing to increase C sequestration; more legumes in the rotation to reduce energy consumption; and more inputs to enhance profitability), without considering the other dimensions of sustainability. Despite the evidence that the future of agriculture must address a wide range of issues, no study has designed innovative cropping systems with specific and quantitative objectives covering a broad range of issues.

The objective of our project was to design, by prototyping[29], innovative cropping systems meeting three quantitative objectives: (i) to satisfy a major environmental constraint, which represents a major break regarding objectives to be reached in current cropping systems (the banning of pesticide use, reducing fossil energy consumption by 50%, or reducing gas emissions by 50%,; (ii) to satisfy a wide range of environmental criteria with specific quantitative targets, and (iii) to produce the maximum yield possible given the constraint and the environmental targets. The ultimate aim of this work is to improve arable cropping systems throughout northern Europe. Prototyping was developed to enable agronomists to design, test and improve more sustainable cropping systems[29]. With this approach, newly designed cropping systems could satisfy several of the issues mentioned above and contributed to identify the weaknesses of cropping systems. System prototypes were assessed with tools and a model (*ex ante* assessment), with discussion of their potential performances, before their assessment in a long-term field experiment (*ex post* assessment). We set up this long-term field experiment in 2008, and its results will be published in due course. We focus here on the prototyping and assessment of the cropping system prototypes. We discuss our results in terms of innovative design methodology, the innovation of agricultural practices, the availability of suitable tools, models and crop management, and the yields achievable.

1. Materials and methods

1.1. Design method

The method used for cropping system design was based on the prototyping approach[29,30,31,32], which involves four major steps:

(i) Defining and ranking the constraints and targets;

(ii) Designing innovative cropping system prototypes on the basis of current knowledge;

(iii) Assessments of cropping system prototypes with tools and models adapted for the constraints and targets used, with improvement of the cropping systems (in terms of rotation or crop management aspects) by an iterative process, until satisfaction of the constraints or achievement of results considered the best possible; and

(iv) Assessment of the most promising cropping system candidates in a long-term field trial. This practical assessment is currently being carried out in a long-term field experiment, initiated in 2008.

1.2. Constraints and targets for innovative cropping systems

Four different cropping systems with quantified constraints (*i.e.*, conditions that had to be fulfilled), environmental and yield targets, were designed for the agricultural conditions and principal crops of northern France. These constraints and targets were prioritized as follows: an environmental constraint had to be satisfied first; a set of environmental targets then had to be attained, and finally, yield had to be maximized. The quantitative levels of the constraints did not correspond to any regulations and reflected a major break to be reached in current cropping systems. Inclusion of the use of organic fertilizers (manure, compost, etc.), which are currently not readily available to many farmers in large areas of Western Europe, was not permitted in the design of the cropping systems.

1.2.1. The productive high environmental performance cropping system (PHEP).

No major environmental constraint was placed on this cropping system, which was designed to reach environmental targets. Eleven environmental indicators, according to the INDIGO® tool[33], were used to assess the effects of the cropping system on groundwater pollution (nitrate and pesticides), crop diversity, energy use and soil quality (organic matter content and phosphorus concentration). To reach environmental goals, all these environmental indicators, calculated over an entire crop rotation sequence, had to have values higher than 7 (graduated scale from 1 to 10)[33]. This system was used as the reference system for comparisons with the other three systems.

1.2.2. No-pesticide cropping system (No-Pest).

This cropping system was subject to a specific pesticide constraint: no pesticide use was tolerated, even using substances (e.g. acetic acid) at levels usually considered acceptable in organic cropping systems. However, inorganic chemical fertilizers were allowed (these fertilizers are not permitted in organic farming systems). This system had to achieve the same environmental targets as the PHEP cropping system.

1.2.3. Low energy cropping system (L-EN).

This cropping system was subjected to a specific energy constraint: it had to have fossil fuel consumption levels no greater than half those of the PHEP cropping system. It had to reach the same environmental targets as the PHEP cropping system.

1.2.4. Low greenhouse gas emission cropping system (L-GHG).

This cropping system was subject to a specific constraint concerning greenhouse gas emissions: its greenhouse gas emissions had to be no more than half those of the PHEP cropping system by increasing carbon sequestration in the soil and decreasing N_2O emissions. It had to meet the same environmental targets as the PHEP cropping system.

For each cropping system, once the constraint had been satisfied and environmental targets had been reached, the combination of agricultural practices giving the highest yields was retained.

1.3. Design of the four innovative cropping systems

The innovative cropping systems were designed from published knowledge, quantitative data from field experiments and individual or group expertise provided by scientists, extension service staff and farmers. For each cropping system, one prototype, consisting of the species in the rotation and the combination of agricultural practices used, was designed. If the constraints were not satisfied, the candidate was modified iteratively (changes to the crops in the rotation or agronomic practices) until they were. At the beginning of the process, a modification of a crop led to a multitude of changes; at the end, changes were only one at a time. When the constraints were satisfied, environmental targets were optimized by an iterative procedure until improvement was observed. Maximum achievable yields were then determined by experts knowledge or from trial results, for the various cropping systems. The candidate cropping systems selected for further assessment in a field experiment were, those with the best performances in terms of constraints, environmental targets and achievable yields.

We carefully selected agronomic strategies from previous publications, to satisfy the given constraints. Examples of such strategies are presented in Table 1. Current knowledge, based on conventional cropping systems, had to be adapted for innovative cropping systems, and it was necessary to combine strategies. Agronomic strategies were translated into decision rules (as described by Debaeke[34]) to meet the requirements of future cropping systems and to cope with the variability of weather and agronomic conditions.

1.4. Assessment with tools and a model and fine-tuning of innovative cropping system prototypes

During the design process, the cropping system prototypes were assessed with various tools and a model, to determine the best ways to satisfy the set of constraints and targets imposed. Direct and indirect non-renewable energy consumption was assessed with the INDIGO® tool (v. 1.9). Direct energy consumption concerned the fuel, lubricants and electricity used to power farm machinery and tractors. Indirect energy consumption concerned the energy used in the manufacture, formulation, packaging and maintenance of inputs, such as machinery, fertilizer or pesticides. The energy outputs of the cropping systems were calculated as the gross energy content of the harvested produce. Energy

consumption was calculated on a per hectare basis, per tonne of crop product and per calorie produced, over a complete crop sequence.

C sequestration in the soil was assessed with (i) the Roth C 26.3 model[35] and (ii) the SIMEOS® tool (v.2010) based on the AMG model[36]. We used climatic data (*i.e.*, monthly mean air temperature, monthly precipitation, and monthly open pan evaporation) from a meteorological station located in Grignon (Ile-de-France region, 30 km west of Paris). The soil characteristics (plow layer, 0-30 cm) used to drive simulations were as follows: clay content 20.6%, bulk density 1.4, initial C content 8 g/kg dry matter. The expected annual yields were estimated from experimental data obtained under the same conditions (*i.e.*, Ile de France region) and adjusted by expert knowledge. These values were used to estimate the expected annual dry matter production of roots and stubble, as described by Van Groenigen et al.[37]. Direct and indirect GHG emissions were estimated with the GES'TIM database[38]. We focused on two main greenhouse gases: nitrous oxide (N_2O) and carbon dioxide (CO_2). Direct emissions included N_2O emissions from N fertilizers, calculated with Intergovernmental Panel on Climate Change coefficients[39], and the CO_2 produced by the combustion of fossil fuels by farm machinery; CO_2 respired by soil organisms was not taken into account in calculations. Indirect emissions corresponded to the use of fossil energy in the manufacture and maintenance of farm inputs. GHG balances (C sequestration plus GHG emissions) were determined over periods of 25 and 50 years, in accordance with Intergovernmental Panel on Climate Change proposals[39] and current knowledge of C sequestration kinetics in the soil. In this investigation, any GHG entering the system is counted negatively whereas GHG leaving the system is counted positively. Therefore, the overall balance is a positive value if more greenhouse gases are emitted than sequestered in the system.

Environmental indicators, such as in the INDIGO® tool (v. 1.9), were used to assess the environmental effects of cropping system prototypes. Three indicators of nitrogen effects provided information about ammonia volatilization, nitrous oxide emissions into the air, and nitrate leaching into the groundwater. Four pesticide indicators were studied: three providing information about pesticide volatilization, pesticide runoff and pesticide leaching into groundwater and one taking the global effect of pesticides into account. The last four indicators used provided information about crop diversity, energy consumption, organic matter in the soil, and phosphorus management. Each indicator takes a value between 1 (worst) and 10 (best). For rotations of more than five crops, the crop diversity indicator was calculated from the coefficients of Leteinturier[40]. For example, values 0.5, 4.1 and 7.6 respectively correspond to a wheat monoculture, a wheat-maize rotation and a wheat-sunflower-spring barley-maize rotation.

All these tools and the model were chosen on the basis of their relevance for assessing compliance with constraints and environmental targets. In a comparison of the performance of nine soil organic C models, using different datasets from long-term experiments from different parts of the world, Smith[41] found that the RothC model was among those that

performed best. Bockstaller[42] analyzed four methods for assessing the sustainability of agricultural systems. They found that the INDIGO® tool was the most relevant for conditions corresponding to those used here. These tools and the model have been regularly used in different countries. For example, Roth C has been used by De Li Liu[43] and Cerri[44], and INDIGO® has been used by Bockstaller[42].

1.5 Current cropping system in the Ile-de-France region

The current system in the Ile-de-France region was defined on the basis of data collected in 2006 (Agreste[45]), the most recent data available at the initiation of this program. We defined the current cropping system in terms of agronomic practices and crop descriptions. This system was validated by various experts (farmers, extension service staff) with few adjustments in terms of types and numbers of crops in the rotation. This system was used as a reference for further comparisons.

2. Results

2.1. Design methodology step

The systems were designed over a six-month period, by a panel of about 15 experts. For each innovative cropping system, the first candidate characteristics were based on the current cropping system in the Ile-de-France region. The system was then optimized through an iterative process, which produced approximately 70 prototypes, to find the four most promising candidates. These candidates corresponded to the prototypes satisfying the constraint and environmental targets imposed and yielding the best results in the assessment. For example, for the PHEP system, the value of the crop diversity indicator was gradually increased from 5 to 7 during the fine-tuning of the system, with simultaneous improvement of the values of the other indicators. The first prototype was based on a 3-year rotation (winter oilseed rape, winter barley and winter wheat), currently used in the Ile-de-France region. In the best prototype, a winter legume and spring barley with a mustard catch crop were gradually introduced, leading to the following rotation: winter field beans (*Vicia faba*), winter wheat, winter oilseed rape, winter wheat and spring barley with a mustard catch crop. In the design process, we began by determining the crop rotation and then defined the crop management practices.

2.2. Description of the innovative cropping system prototypes

For each cropping system, we present only the most promising prototype. The systems are first described in terms of the crop rotation, crop management practices and yield targets. We then present the results of the final assessment with respect to constraints (*i.e.*, pesticide use, energy consumption and greenhouse gas emissions) and, finally, we evaluate the systems in terms of environmental targets. The crop rotations and targeted yields are presented in Tables 2 and 3.

239

240 **2.2.1. The productive high environmental performance cropping system (PHEP)**

241 The PHEP cropping system was designed with multiple environmental targets in mind and was based on the following
242 agronomic strategies: (i) to reduce pesticide use, we increased crop diversity (four different crops instead of the three
243 currently sown), (ii) to reduce the amount of N used and indirect energy consumption, we included at least one legume
244 in the rotation, (iii) to decrease nitrogen leaching, a catch crop was always sown before the spring crop and N
245 fertilization was forbidden during autumn and winter, (iv) to reduce direct energy consumption, plowing was allowed
246 only once in the rotation, before the spring crop, (v) to reduce pesticide use and crop loss due to insects and diseases,
247 highly resistant varieties were used, together with optimal sowing dates and densities and (vi) to stabilize or/and to
248 enrich the soil organic matter (SOM) content of the soil, crop residues were not removed. As the system had to satisfy
249 environmental targets requiring the use of fewer inputs, the target yields set were similar to those currently achieved
250 with low-input cropping systems in the Ile-de-France region.

251

252 **2.2.2. The no-pesticide cropping system (No-Pest)**

253 Pesticide use was prohibited in the "No-Pest" cropping system. Therefore, this cropping system was designed as
254 follows: (i) to break the cycles of some common soil-borne pathogens, we used a long rotation including a range of
255 species (five different crops), with the alternate sowing of host and non-host plants, (ii) to reduce weed emergence from
256 year to year, we sowed species with different sowing dates in spring and in winter successively, (iii) to decrease pest
257 and disease pressure and damage, we used highly resistant varieties and species mixtures, and excluded crops highly
258 susceptible to some enemies but with few non-chemical solutions, such as oilseed rape or potatoes, from the rotation
259 (iv) to increase the competitiveness of the crop with respect to weeds, we sowed species with rapid shoot growth, such
260 as hemp and triticale, (v) to maximize weed emergence before sowing, we used the stale seed-bed technique, (vi) to
261 reduce weed emergence after sowing, plowing was carried out before each spring crop and (vii) we adapted sowing
262 densities to make it possible to use mechanical weeding techniques and to decrease pathogen propagation. We used the
263 following approaches to reach environmental targets: (i) to reduce nitrate leaching, catch crops were always sown
264 before spring crops and the spreading of nitrogen fertilizer was allowed only in the spring, (ii) to decrease direct and
265 indirect energy consumption, we decreased the number of plowing events and N fertilization was calculated according
266 to yield objectives, and (iii) to stabilize SOM, crop residues were not removed. Yield targets were lower than those for
267 the PHEP cropping system, because no pesticides were used. However, they were higher than those achieved in organic
268 systems because chemical fertilizers were allowed, increasing flexibility in the management of crop nitrogen nutrition.
269 For the integration of these features, in accordance with current knowledge of pest and disease pressures in the Ile-de-

France region, experts suggested yield potentials 30% lower than those for the PHEP system for cereals and 25% lower for field beans.

2.2.3. Low energy cropping system (L-EN)

The L-EN cropping system was designed, to have a much lower energy consumption than the PHEP cropping system, as follows: (i) to reduce indirect fuel consumption due to N fertilization, we included as many legumes as possible in the rotation (field beans as a main crop, clover as a catch crop, and a white clover-winter wheat mixture), and we used species or varieties with high N use efficiency (*e.g.*, oats [46]) and forms of mineral N fertilizers requiring less energy for their manufacture, (ii) to decrease direct fuel consumption, we omitted plowing, which is a very resource-intensive operation, and used a direct drilling system, and (iii) we decreased the amounts of mineral fertilizer (N, P, K) applied, implying a decrease in target yields. We also designed the L-EN cropping system along the same lines as the PHEP system, to achieve environmental targets for crop diversity, length of rotation, date of nitrogen spreading, and catch crop sowing. Target yields were 20% lower than for the PHEP cropping system, except for field beans.

2.2.4. Low greenhouse gas emission cropping system (L-GHG)

The L-GHG cropping system was designed to decrease greenhouse gas emissions by increasing C sequestration in the soil and decreasing N_2O emissions.

C sequestration in the soil was increased by (i) including as many cereals as possible in the rotation, to ensure the production of large amounts of residues (*i.e.*, maize, winter wheat, winter barley or triticale), (ii) maintaining continuous soil cover to increase the amounts of organic residues (*i.e.*, cover or catch crops were always sown between main crops, and volunteers were left to grow after harvest), (iii) targeting high yields for the main and catch crops, to ensure the production of large amounts of residues, and (iv) excluding moldboard plowing, which increases C mineralization.

N_2O emissions were reduced by (i) decreasing the amount of N fertilizer required at rotation scale[47], and consequently direct emissions of N_2O, by sowing legumes in the rotation (main and catch crops), (ii) improving and optimizing N fertilization practices according to climatic conditions, through the use of appropriate decision rules to prevent applications in conditions favoring N_2O emissions, and (iii) sowing species with taproots to reduce soil compaction and N_2O emissions.

The L-GHG cropping system was also designed according to the same principles as the PHEP system, to reach environmental targets for crop diversity, length of rotation, pesticide use, date of N spreading, and catch crop sowing. Target yields were considered to be a compromise between the production of large amounts of C residues (*i.e.*, high

yields) and the decrease in N_2O emissions (*i.e.*, low N fertilization). Experts thought that potential yields would be similar to those achieved by the PHEP cropping system.

2.2.5. The current cropping system in the Ile-de-France region

This system is based on a cereal crop rotation, with five cereal crops over a six-year rotation (Table 2). In order to secure high yields, the agronomic practices were as follows: regular plowings, four times over a 6-year rotation. The amounts of N fertilizer exceed crop requirements, to prevent yield shortfalls in the event of unfavorable climatic conditions or unexpected nitrogen losses. Pesticides and growth regulators were used liberally to prevent diseases, weeds, pests and lodging (3 to 5 pesticides every year).

3. Cropping system prototypes assessments with tools and a model

3.1. Constraint assessment

3.1.1. Pesticide constraint in the No-Pest cropping system

This constraint was achieved by not applying pesticides in the No-Pest cropping system.

3.1.2. Energy constraint in the L-EN cropping system

Mean total fossil energy consumption (direct and indirect energy), calculated over a single rotation, was 4517 MJ ha^{-1} $year^{-1}$ for the L-EN system and 8826 MJ ha^{-1} $year^{-1}$ for the PHEP system (Figure 1). Chemicals, including N fertilizers, the largest component, accounted for 1271 MJ ha^{-1} $year^{-1}$ (43% of total indirect energy consumption) in the L-EN system and 4345 MJ ha^{-1} $year^{-1}$ (95% of total indirect energy consumption) in the PHEP system. The use of machinery for tillage, fertilization, harvesting, sowing and crop protection was the only component of direct energy consumption that was nearly halved in the L-EN system (2976 MJ ha^{-1} $year^{-1}$ and 4228 MJ ha^{-1} $year^{-1}$ for the L-EN and the PHEP systems, respectively). The difference between these two systems can be accounted for by the absence of tillage and the use of less N fertilizer in the L-EN cropping system.

When expressed in MJ ha^{-1}, the total fossil energy in the L-EN system is 49% lower than that in the PHEP system (Table 4). However, if expressed in MJ t^{-1}, the energy performance of the L-EN system is lower (*i.e.* difference between the PHEP and the L-EN systems of only 24% in term of total fossil energy per tonne of produce), because the target yield is about 20% lower. A similar reduction in energy use (about 29%) was observed for the calculation in kJ $kcal^{-1}$.

3.1.3. The greenhouse gas constraint in the L-GHG cropping system

C sequestration was assessed for the optimized prototypes of the PHEP and the L-GHG systems, for the mean soil organic matter content in the Ile de France region (1.6%). Both the Roth C model and the SIMEOS® tool predicted that C would be sequestered throughout the study period, from the start, in both cropping systems. The highest values were obtained with the L-GHG system over a 50-year period, for both assessment tools (Table 5). For both systems, total C sequestration was higher during the first 25-year period than during the second 25-year period. When expressed in t CO_2-eq ha^{-1}, C sequestration values were systematically higher with the Roth C model than with the SIMEOS® tool. Nevertheless, after 25 and 50 years, the differences between the L-GHG and the PHEP systems calculated with the Roth C model and the SIMEOS® tool were similar if the results were expressed in relative values.

Direct and indirect greenhouse gas emissions were calculated with the GES'TIM database, over one rotation period, for the L-GHG and the PHEP cropping systems (Figure 2). Mean total greenhouse gas emissions were 1104 kg CO_2-eq ha^{-1} $year^{-1}$ and 1273 kg CO_2-eq ha^{-1} $year^{-1}$ for the L-GHG and the PHEP cropping systems, respectively. Direct and indirect greenhouse gas emissions accounted for similar proportions of total emissions: 48% and 52% for direct greenhouse gas emissions for the L-GHG and PHEP systems, respectively (Table 6). Chemical fertilizers caused both direct and indirect greenhouse gas emissions. They represented 76% and 73% of total greenhouse gas emissions for the L-GHG and the PHEP systems, respectively. Soil cultivation, accounting for 19% and 23% of total greenhouse gas emissions for the L-GHG and the PHEP systems, respectively, was the second most important component of these emissions. When results were expressed per ha, total greenhouse gas emissions were 13% lower in the L-GHG system than in the PHEP system. When expressed per tonne of produce, the larger decrease (22%) may be accounted for by the higher yields, calculated at rotation scale, of the L-GHG system than of the PHEP system.

In terms of the overall balance of GHG emissions (Tables 7-8), GHG values were negative for the L-GHG system, except for the 50-year period with the SIMEOS® tool. All GHG balance values were lower for the L-GHG system than for the PHEP system. The difference in GHG balance between the two systems increased over time, and was greater with the Roth C model, which gave decreases in GHG emission of 51% for the 25-year period and 76% for the 50-year period.

3.2. Assessment of environmental targets

The results of assessments of environmental targets with the INDIGO® tool are shown in Figure 3. For all optimized cropping systems, all 11 indicators had values of at least 7 (*i.e.*, environmental criteria were satisfied), except for the organic matter indicator for the No-Pest cropping system (OMI = 5.7). The large number of species (more than three in each rotation) and the small quantities of pesticides sprayed on crops (0 to 2 pesticides used per crop), the systematic restitution of residues and the optimization of tillage and fertilization management (*i.e.*, the small number of ploughing operations, optimizing P and N fertilization in terms of both the amounts applied and the timing of applications),

resulted in high values for the indicators for crop diversity, pesticide use, soil organic matter, phosphorus, nitrogen and fossil fuel, respectively.

The low value of the organic matter indicator for the No-Pest cropping system (5.7) could be accounted for by both the large number of plowing operations (alternate years), encouraging mineralization, and the lower yields, resulting in smaller amounts of C residues.

4. Discussion

4.1. Design and assessment of innovative cropping systems

The main challenge of this study was to design innovative cropping systems. Our approach is original in the multiplicity of purposes assigned to these systems (*i.e.*, association of one major constraint with environmental and yield targets). In most previous studies, these issues have been analyzed separately. For example, Zentner[48] and Gefland[49] studied energy efficiency, whereas Nemecek[28] used life cycle assessment methods to evaluate environmental criteria, Nowacki[50] studied profitability, and Chikowo[51] studied new cropping systems with a lower reliance on pesticides. However, several other studies are currently investigating system sustainability including assessments of several different criteria[52], or numerous environmental parameters[22]. In our project, we combined one major constraint with environmental and yield targets, reflecting the multifunctionality of agriculture. Furthermore, there was a clear, particular hierarchy throughout the design process. In most published experiments, environmental consequences are assessed only during the assessment of technical innovations in the trials, or environmental goals exist but are not quantified at the start of the study. In our work, satisfying the major constraints and the precise environmental targets were major aims, which became the conditions determining yield, with target yields set as high as possible under the conditions concerned. In addition, the clear definition of the constraint (*i.e.*, reducing energy consumption or GHG emissions by 50%) and the environmental targets (*i.e.*, having a value of at least 7 for all INDIGO environmental indicators) was also original. The quantitative levels of the constraints did not correspond to any regulations (*i.e.*, these constraints reflected a major break with the regulations). However these innovative cropping systems were considered as research tools which enabled to identify the most relevant agronomic practices combinations which could be used in more restrictive legislative contexts. The level quantifications of the constraints and environmental targets were very useful during the design process which required calculations.

Before the assessment of the prototypes in a long-term field trial, candidate systems were assessed and improved in an iterative process until the constraints were satisfied and environmental performance with respect to targets was optimized. This theoretical process of improvement has rarely been reported in previous studies. Cropping systems are usually assessed or compared in systems defined on the basis of the main standardized characteristics, essentially

394 relating to one major aspect: *e.g.*, organic *versus* conventional systems[53,54,24], no-tillage *versus* conventional tillage[54,55],
395 or integrated *versus* conventional systems[50,56]. Quantitative data for environmental criteria[26], yield[57] or economic
396 performance[58,59] were therefore recorded in experiments. The results of these comparisons can be used to compare the
397 impact of different systems, but not to identify all solutions for their improvement. Even though inductive reasoning can
398 bring about some conclusions in regards to general principles, another round of conception and evaluation is required,
399 to strengthen cropping systems. Our prototyping approach is totally different. Innovative systems were assessed by
400 modeling until they satisfied specific constraints and were optimized in terms of specific environmental targets. A field
401 trial was then set up to determine whether each of the selected prototypes could satisfy its multiple constraints and
402 targets. In this case, the various environmental targets were included in the agricultural strategies from the start of the
403 design process, facilitating identification of the weaknesses of the system and making it possible to propose solutions
404 for improvement before undertaking field trials. After the assessment of these innovative cropping systems in a field
405 trial, their costs and economic performances will be calculated in different economic scenarios, to determine the
406 likelihood of their being adopted by farmers.
407 Our approach required a large panel of experts (scientists, farmers, and extension service staff) to design and to support
408 prototypes throughout the design process (*i.e.*, from the first to the last candidates). This was necessary because (i) the
409 best crop management system may not correspond simply to the sum of individual agricultural practices, but may
410 instead involve a set of agronomic strategies and their interactions, and (ii) a breadth of agro-ecological knowledge is
411 required to identify sets of agricultural rules likely to be responsive to such strict constraints and environmental targets.
412 Moreover, this approach provided a more realistic view of cropping systems, making the adoption of the proposed
413 innovations more likely[60]. However, the field trial assessment step is still absolutely necessary because some innovative
414 agronomic practices, not currently used in cropping systems, have never been evaluated by experts.
415 During the design process, about 15 experts attended individual sessions or group meetings, to provide knowledge
416 unavailable from published work. The definition of crop rotations and agronomic practices took about six months, and a
417 further 18 months were required for the writing of the decision rules. Published studies involving design processes have
418 differed considerably in the number of experts involved and the time spent by individual experts, depending on the
419 availability of the experts and the difficulties encountered in achieving the goals assigned to systems[52,61].
420

421 **4.2. Achievement of multiple constraint and targets**

422 For all innovative systems, the constraints were satisfied with no consequences for other environmental components,
423 except for the organic matter indicator of the No-Pest system. In this case, regular tillage combined with the restitution
424 of only small amounts of organic matter had an adverse effect on soil environmental characteristics (indicator value for
425 soil organic matter of 5.7, according to the INDIGO® tool). Within this system, it did not appear to be possible to

satisfy both the constraint and this environmental target with the available non-chemical techniques for pest control. Moreover, this was only possible with the available techniques by reducing yield targets with respect to those of current regional systems (Agreste[45]). Nevertheless, progress in integrated pest management is being made, and new techniques may make it possible to improve environmental and yield performances. In the design of the L-EN system, we managed various agricultural processes, decreasing both direct energy consumption (due to tillage, for example) and indirect energy consumption (due to the use of mineral fertilizers). We halved fossil energy consumption by greatly decreasing N fertilizer inputs, which was associated with a 20% yield loss. However, the energy performance of the L-EN system was expressed relative to that of the PHEP system, which also had a relatively low level of fossil fuel consumption with respect to current practices in Ile-de-France. The total energy consumption of the L-EN system was about 35% that of the current system in Ile-de-France (Agreste[45]), when energy was expressed in MJ ha^{-1} (Table 4). For both the L-GHG and the PHEP systems, decreases in pesticide were taken into account by considering the maximum achievable yields to be similar to those of current low-input systems in Ile-de-France (Agreste[45]), and much lower than those of conventional systems in the region (Agreste[45]). Achievable yields for the L-GHG and the PHEP systems were considered to be 13% and 21% lower, respectively, than those of the current system in Ile-de-France. However, considering all the innovative systems together, it would appear to be possible to satisfy such ambitious constraints and environmental targets at the expense of only relatively small yield losses.

Available knowledge and current techniques suggested that it would not be possible to overcome all constraints in a single cropping system, because the agronomic practices used in one innovative system were incompatible with the constraints imposed on others. Plowing, one of the most effective practices against weeds used in the No-Pest system, is incompatible with large decrease in fossil energy consumption and the increase in C sequestration achieved with the L-EN and L-GHG systems, respectively. The large decrease in N fertilizer levels of the L-EN system is not compatible with the achievable yields defined for the PHEP and the L-GHG systems. Winter wheat sowing was delayed to avoid pest pressure in the No-Pest system, whereas it was brought forward in the direct drilling conditions of the L-EN and the L-GHG systems. This pattern was already evident during the design of the L-GHG system (*i.e.*, GHG emission processes were managed in hierarchical order). Consequently, the development of a system without pesticides, with ambitious constraints in terms of GHG emissions and fossil fuel use, and with other environmental and yield targets, will require further progress in agronomic knowledge. For example, a better understanding of the interactions between cash and cover crops in terms of cooperative and competitive effects might allow the introduction of a living cover crop during cash crop growth in the L-GHG system[62]. The field trial assessment again proves essential to gain a better understanding of these interactions.

4.3. Improving the design process

In the design of the L-GHG system, we had to rank the secondary objectives (C sequestration had to be enhanced first, and then N_2O emissions had to be reduced) to satisfy the GHG constraint. In this case, several practices had effects on both processes involved: no-tillage increased C sequestration in the soil but increased N_2O emissions; ample N fertilizer applications were required to obtain high yields and, thus, abundant C residues, but this also generated more N_2O emissions. We decided to promote C sequestration, because N_2O emission assessments were highly uncertain due to the lack of published data about N_2O emissions, for field bean residues for example (IPPC[39]), and the variability of results due to differences in soil and climatic conditions[63]. Nevertheless, knowledge about the effects of cropping systems on N_2O emissions is increasing, and it should be possible to improve the adjustment of cropping systems in the future.

The cropping systems assessment required tools and models adapted to the set of objectives and convenient to use during the iterative optimization process. Some approximations were used, due to the lack of data. In the L-GHG system, the coefficient defined by the Intergovernmental Panel on Climate Change (*Tier 1*) was used to calculate N_2O release from the amount of N applied, rather than using different values for different soil and climatic conditions[63]. There were also uncertainties in the assessment of C sequestration. The two simulations provided similar ranks for the PHEP and the L-GHG systems, but the SIMEOS® tool and the Roth C model gave different quantitative results. One difference between these tools relates to tillage. In the SIMEOS® tool, mineralization mechanisms in the tilled layer are modified by tillage[36]. In the Roth C model, tillage is taken into account only indirectly, through the C inputs to the soil from crop biomass, which are affected by tillage practices[43]. Further uncertainties arise from the lack of root biomass data. Furthermore, these tools partially take into account changes in soil organic matter content, which greatly influence N transformation mechanisms in the soil. Anyway, the difference in the values generated by these models suggested that neither may accurately predict actual performances of these contrasting cropping systems. If cropping systems assessment is to be considered relevant, it must make use of tools that are regularly updated, including: (i) “new” machinery, such as harrows, hoes or direct-sowing drills with adjustable parameters in terms of energy consumption and GHG emissions, and (ii) new more forms of pesticides or fertilizers. It may also be useful to improve systems assessments with crop models, but many parameters are unavailable for marginal crops (such as hemp or flax), for crop mixtures (*e.g.*, cereal and legume combinations), or species mixtures used as catch crops (*e.g.*, the association of spring oats, mustard and clover).

Several economic and social aspects were not taken into account during this design process, which focused on the cropping system rather than the farm scale. Nevertheless, we excluded some crops that are not grown by farmers in Ile-de-France due to the lack of a market (*i.e.,* with low economic performances), but we included others for which the market is poorly developed in this area (*e.g.*, lucerne and hemp). We did not take the organization of farm work into account either, although the identification and quantification of pest pressures in the field, to decrease pesticide use, is known to be time-consuming. From a technical point of view, we assumed that all farms owned the specific machinery

used in the innovative systems, such as direct-sowing drills or mechanical weeding tools. For all these reasons, further investigations of the most relevant innovative cropping systems identified in a field trial assessment are needed, to forecast their possible application in an area.

4.4. Toward field assessment of the innovative cropping system prototypes

The innovative systems prototypes designed here were based on different hypotheses about soil and climate effects, because the true impact on crops and soil are unknown. For example we assumed that agricultural practices and climatic conditions would not affect crop emergence. In our conditions, crops are not affected by direct drilling because this technique is widely used in France (http://agriculture-de-conservation.com/). However, conservation tillage and mulch tillage practices remain largely empiric[64], and additional knowledge is required for the optimization of these techniques, to make it possible to achieve good results in terms of sowing management and target yields. We also assumed that rainfall would provide enough water throughout the year in northern France to allow catch crop and main crop emergence, but this may no longer be the case if the climate changes radically. We assumed that new equilibria would appear with certain practices, allowing inputs to be reduced. In the L-GHG system, the practice of leaving crop residues on the soil should decrease weed emergence, making it possible to decrease the amount of herbicide used, and the absence of plowing should lead to the maintenance or increase in size of ground beetle populations, making molluscicide use unnecessary. The achievable yield was determined on the basis of several assumptions. In the L-GHG system, we promoted C sequestration, which is highly dependent on cereal yields and difficult to estimate in the case of no-tillage systems, because the presence of excessive amounts of crop residues may decrease emergence. The chemical properties of the soil associated with different tillage practices are also poorly characterized[64]. In this system, the effects on water availability of sowing cover crops every year also should be analyzed. In the L-EN system, the mixture of winter wheat and white clover might also decrease cereal yields. In the No-Pest system, yields were defined by approximation to organic systems, in which no mineral fertilizers are permitted. In this system, late winter cereal sowing might lead to higher levels of damping-off and plant death during winter, decreasing yields to a greater extent than anticipated. These are just a few of the uncertainties remaining about the real performance of the systems we have devised. For these reasons, the field assessment of these system prototypes, which is currently being carried out (the experiment started in 2008), is absolutely necessary.

Finally, it should be stressed that approaches of this type could be used in many agronomic situations, with a diversity of challenges, provided that sufficient knowledge is available for the development of innovative strategies. In our opinion, this approach meets the need expressed by Foley[14] to “search for practical solutions” for a cultivated planet.

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Tables

Table 1: Examples of published agronomic strategies and associated practices for achieving specific goals

Specific goal	Agronomic management strategies	Example of agronomic practices
To reduce pesticide use: (Munier-Jolain[65] ; Aubertot[15]; Chikowo[51])	To avoid the coincidence of the pest, disease and weed contamination periods and sensitive stages of crop development	Modification of sowing date, earlier for oilseed rape, later for winter wheat
	To reduce the density of pests	Use of mechanical weeding Use of *Trichogramma* parasitoid wasps against *Ostrinia nubilalis* on maize
	To reduce the impacts of pests, diseases and weeds on crops	Decrease in sowing density and N fertilization to decrease shoot biomass Choice of varieties with the highest resistance Maximization of competition against weeds, by sowing winter oilseed rape very early (mid-August in the Ile-de-France region)
	To decrease the pool of pathogenic fungi in the soil, diseases and weeds	Lengthening of the crop rotation Sowing of a wide range of crops, to decrease the pool of fungi in the soil Maximization of the use of stale seed beds to increase weed emergence before sowing
	To maintain beneficial insects	Shallow plowing to maintain populations of carabid beetles (slug predators)
To reduce fossil fuel energy use: (Tonitto[66]; Deick[67]; Nemecked[68]; XueLi[70]; Gelfand[49])	**Direct energy:** To decrease the use of the most power-consuming farm machinery **Indirect energy:** To decrease chemical N fertilization	Reducing or eliminating the use of deep plowing Introduction of large numbers of legumes and species with the highest N use efficiency into the crop rotation
To enhance C sequestration in the soil: (Arrouays[9]; Freibauer[71]; Mosier[72]; Beheydt[17]; Smith[7]; Lehuger[73])	To decrease organic matter mineralization To allow high levels of C accumulation, depending on the nature of the crop residues	Avoidance of plowing, replaced by direct drilling (conversion to no-tillage practices) Sowing of maize and cereals in rotation
To reduce N_2O emissions: (Rochette[63]; Pelster[74])	To decrease anaerobic conditions, to decrease denitrification mechanisms To decrease the amount of available mineral N in the soil	Decreasing compaction and soil moisture content without deep tillage Optimization of N fertilization, according to soil nitrogen content Increasing the N use efficiency of crops
To decrease nitrogen leaching	To decrease soil nitrate content during autumn and winter	Sowing of catch crops Sowing of oilseed rape after legumes Banning of N fertilization during autumn and winter

705 Table 2: Crop rotations of the innovative cropping systems designed, and a current cropping system, defined by experts

706 on the basis of data from the Ile-de-France region in 2006 (Agreste [45])

Cropping system	Crop rotation
Productive high environmental performance (PHEP)	Winter field bean - Winter wheat - Winter oilseed rape - Winter wheat – (with mustard as a catch crop) - Spring barley
Without pesticide (No-Pest)	Triticale – (species mixture as a catch crop) Maize – Winter wheat – (species mixture as a catch crop) Spring field bean - Winter wheat - (species mixture as a catch crop) - Hemp
Low energy use: (L-EN)	Winter field bean - Winter wheat - Winter oil flax - Winter wheat-white clover mixture – (white clover as a catch crop) Spring oat
Low greenhouse gas emissions (L-GHG).	Triticale – (frost-sensitive species mixture as a catch crop) - Spring field bean - Winter oilseed rape (volunteers) - Winter wheat – (legumes as a cover crop) - Winter barley – (legume-oat mixture as catch crop) Maize
Ile-de-France (IdF)	Winter oilseed rape – Winter wheat – Winter barley – Maize – Winter wheat - Winter wheat

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Table 3: Targeted yields (t ha^{-1}) for different species sown in the innovative cropping systems and comparison with current yields. Cropping systems: PHEP (productive high environmental performance), No-Pest (no pesticide use), L-EN (low energy use), L-GHG (low greenhouse gas emissions) and IdF (current system in Ile-de-France region).

Cropping systems / Crops (t ha^{-1})	PHEP	No-Pest	L-EN	L-GHG	IdF Mean from 1998 to 2007 (Agreste)
Spring barley	6.2	-	-	-	
Winter barley	-	4.6	-	6.6	6.6
Spring field bean	-	4.7	-	4.7	
Winter field bean	3.4	-	3.4		
Hemp	-	8	-	-	
Maize	-	7.3	-	9.1	9.1
Winter oil flax	-	-	1.8	-	
Winter oilseed rape	3.1	-	-	3.1	3.4
Triticale	-	4.2	-	6.1	
Winter wheat	7.9	5.5	6.3	7.9	8.0

Table 4: Comparisons of total fossil energy consumption, expressed in MJ ha^{-1}, MJ t^{-1} and kJ $jCal^{-1}$ (INDIGO® tool, v.1.9), between cropping systems. Cropping systems: PHEP (productive high environmental performance), L-EN (low energy use) and IdF (current system in Ile-de-France region).

	Total fossil energy consumption (MJ ha^{-1})	Total fossil energy consumption (MJ t^{-1})	Total fossil energy consumption (kJ $kCal^{-1}$)
L-EN - PHEP / PHEP	-49%	-24%	-29%
PHEP - IdF / IdF	-31%	-21%	-30%
L-EN - IdF / IdF	-65%	-40%	-50%

718 Table 5: C sequestration (t CO_2-eq ha^{-1}) simulated over 25-year and 50-year periods for the different cropping systems
719 with the ROTH C model (V.26-3) and the SIMEOS® tool (2010), for mean soil organic matter content in the Ile-de-
720 France region (1.6%. SOM = 123.3 t CO_2-eq ha^{-1}). Cropping systems: PHEP (productive high environmental
721 performance), L-GHG (low greenhouse gas emissions) and IdF (current system in Ile-de-France region).

C sequestration (t CO_2-eq ha^{-1})		Assessment period 25 years	Assessment period 50 years
Cropping systems	Tool or model		
PHEP	SIMEOS® tool	15.1	19.7
PHEP	ROTH C model	85.2	116.0
L-GHG	SIMEOS® tool	32.0	40.5
L-GHG	ROTH C model	102.9	147.8
IdF	SIMEOS® tool	17.4	22.1
IdF	ROTH C model	87.1	129.2
$\frac{\text{(L-GHG – PHEP)}}{\text{PHEP SOC}}$	SIMEOS® tool	12%	15%
$\frac{\text{(L-GHG – PHEP)}}{\text{PHEP SOC}}$	ROTH C model	9%	13%

722

723 Table 6: Comparison of total simulated greenhouse gas emissions, expressed in t CO_2-eq ha^{-1} and t CO_2-eqt^{-1}, between
724 cropping systems. Cropping systems: PHEP (productive high environmental performance), L-GHG (low greenhouse
725 gas emissions) and IdF (current system in Ile-de-France region).

	Total greenhouse gas emissions (t CO_2-eq ha^{-1})	Total greenhouse gas emissions (t CO_2-eq t^{-1})
L-GHG - PHEP PHEP	-13%	-22%
PHEP - IdF IdF	-46%	-32%
L-GHG - IdF IdF	-53%	-47%

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727 Table 7: Greenhouse gas balances (t CO_2-eq ha^{-1}), for different cropping systems, determined with the SIMEOS® tool
728 (2010) and the ROTH C model (V.26-3), for a current soil in the Ile-de-France region (SOM = 1.6%) and the
729 GES'TIM[37] database, for 25-year and 50-year periods. The GHG balance is positive when the amount of carbon emitted
730 in greenhouse gases exceeds that sequestered. Cropping systems: PHEP (productive high environmental performance),
731 L-GHG (low greenhouse gas emissions) and IdF (current system in Ile-de-France region).

Greenhouse gas balances (t CO_2-eq ha^{-1}),		Assessment period 25 years	Assessment period 50 years
Cropping system	Tool or model		
PHEP	SIMEOS® tool	16.7	44.0
PHEP	ROTH C model	-53.4	-52.3
L-GHG	SIMEOS® tool	-4.4	14.4
L-GHG	ROTH C model	-75.3	-92.6
IdF	SIMEOS® tool	41.9	96.4
IdF	ROTH C model	-39.7	-10.8
L-GHG – PHEP	SIMEOS® tool	-21.1	-29.3
L-GHG – PHEP	ROTH C model	-21.9	-40.3

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733 Table 8: Greenhouse gas balance ratio of the various cropping systems, expressed in t CO_2-eq ha^{-1} , simulated with the
734 ROTH C (V.26-3) model over two different periods (25 and 50 years). Cropping systems: PHEP (productive high
735 environmental performance), L-GHG (low greenhouse gas emissions) and IdF (current system in Ile-de-France region).

	GHG balance: 25 years	GHG balance: 50 years
L-GHG / PHEP	1.51	1.76
PHEP /IdF	1.39	4.95
L-GHG / IdF	2.10	8.72

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Figures

Figure 1: Cropping system prototypes assessment with GES'TIM database: Mean total fossil energy consumption (expressed in MJ ha^{-1} $year^{-1}$) calculated over one rotation period for the different cropping systems, and total fossil energy consumption for each crop of the various cropping systems (i.e.: indirect energy – d.e.: direct energy - w: winter - s: spring). Cropping systems: PHEP (productive high environmental performance), L-EN (low energy use) and IdF (current system in Ile-de-France region).

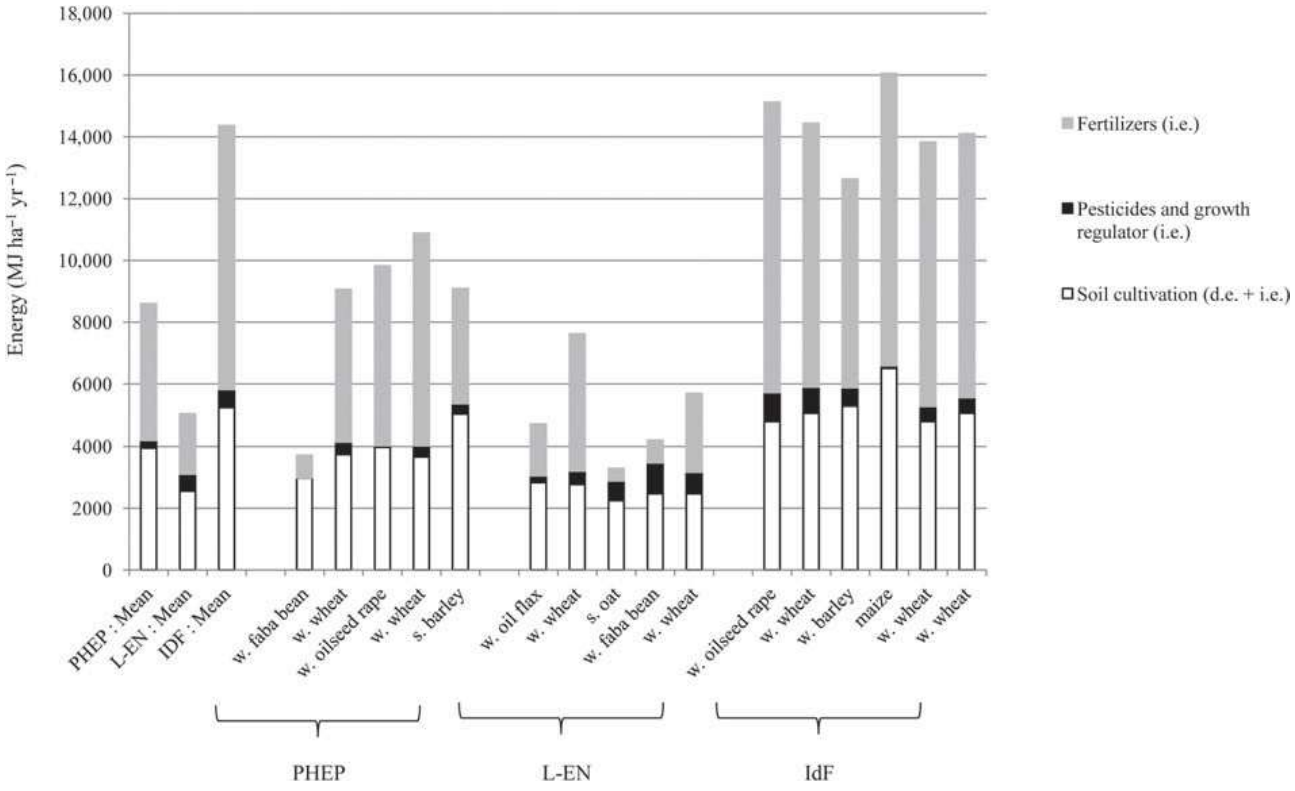

Figure 2: Cropping system prototypes assessment with GES'TIM database: Mean total greenhouse gas emissions (expressed in kg CO_2-eq ha^{-1} $year^{-1}$) calculated over one rotation period for the different cropping systems, and total greenhouse gas emissions for each crop of the various cropping systems (i.ghg: indirect greenhouse gas – d.ghg: direct greenhouse gas- w: winter - s: spring). Cropping systems: PHEP (productive high environmental performance), L-GHG (low greenhouse gas emissions) and IdF (current system in Ile-de-France region).

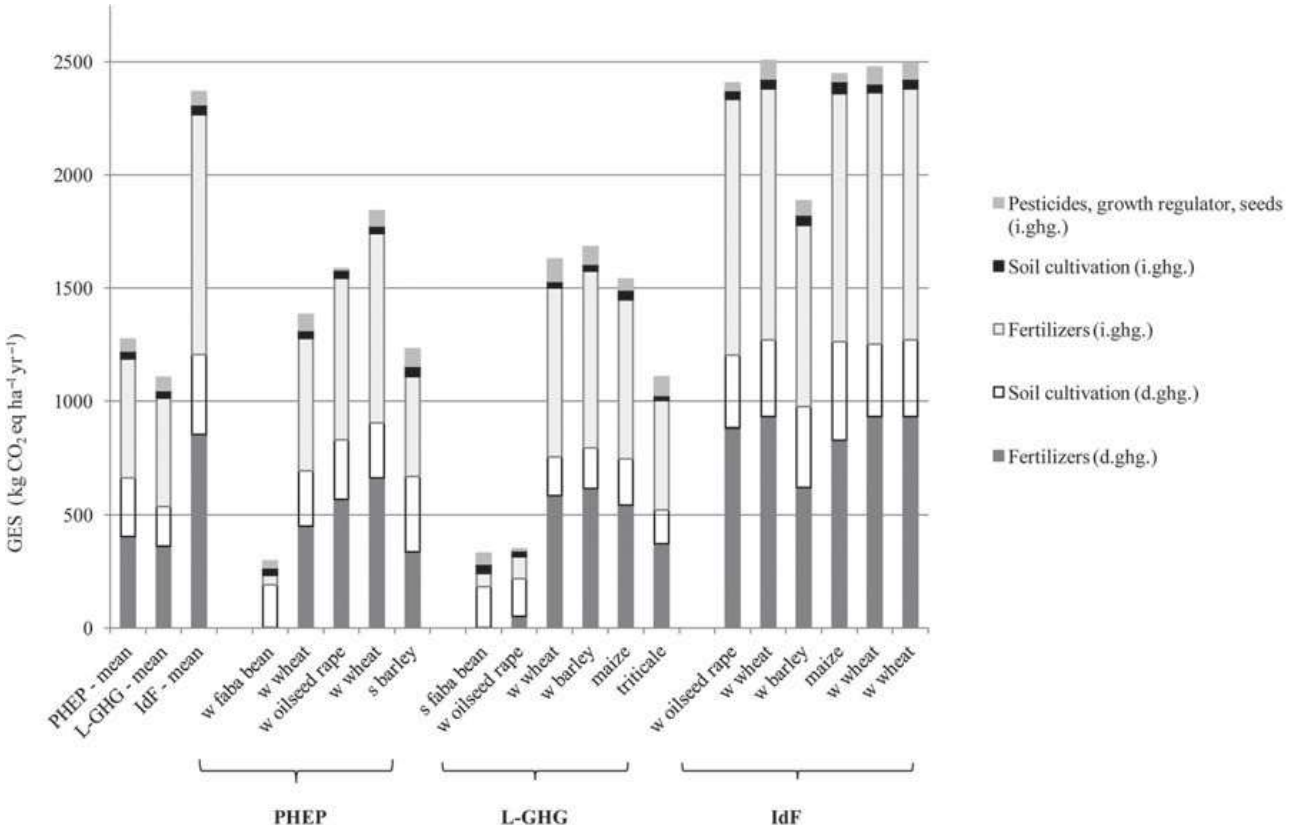

Figure 3: Environmental criteria assessment of the different cropping system prototypes with the INDIGO® tool (v.1.9). Cropping systems: PHEP (productive high environmental performance), L-GHG (low greenhouse gas emissions), L-EN (low energy), No-Pest (No pesticides) and IdF (current system in Ile-de-France region). Minimum values to satisfy environmental targets.